

Norsk Helsenett's Secure Token Service (NTS):

Opportunities for centralized
authentication and access control support

Peter Holmes (FHI)



Preliminaries

- Nasjonalt Folkehelseinstitutt (FHI)

Norwegian Institute of Public Health (NIPH):

- vision: “a healthier population”
- national competence institution for governmental authorities
- responsible for 10 of 15 central health registries
 - SYSVAK (vaccinations)
 - MSIS (communicable diseases)
 - DÅR (cause-of-death)



National health registries	Established	Responsible	Data processor
1. Causes of Death Registry	1925/1951	NIPH	Statistics Norway
2. Medical Birth Registry	1967	NIPH	NIPH
3. Registry of Pregnancy Termination	1979/2007	NIPH	NIPH
4. Norwegian Surveillance System for Communicable Diseases (MSIS)	1977	NIPH	NIPH
5. The Central Tuberculosis Registry	1962	NIPH	NIPH
6. National Immunisation Registry (SYSVAK)	1995	NIPH	NIPH
7. Norwegian Surveillance System for Antimicrobial Drug Resistance (NORM)	2003	NIPH	Univ. Hospital North Norway, Tromsø
8. Norwegian Surveillance System for Infections in Hospitals (NOIS)	2005	NIPH	NIPH
9. Norwegian Prescription Database (NorPD)	2004	NIPH	NIPH
10. Cancer Registry of Norway	1952	Helse Sør-Øst	Cancer Registry of Norway
11. Norwegian Patient Registry (NPR)	1997/2007	Norwegian Directorate of Health	Norwegian Directorate of Health, Trondheim
12. Norwegian Information System for the Nursing and Care Sector (IPLOS)	2006	Norwegian Directorate of Health	Statistics Norway
13. ePrescription	2008	Norwegian Directorate of Health	Ergo Group
14. Registry of the Norwegian Armed Forces Medical Services	2005	The Ministry of Defence	Armed Forces Medical
15. Norwegian Cardiovascular Disease Registry	2010	NIPH	NIPH



Preliminaries (ii)

- Nasjonalt Folkehelseinstitutt (FHI):
 - five scientific divisions
 - Infectious Disease Control
 - Environmental Medicine
 - Epidemiology
 - Mental Health
 - Forensic Toxicology and Drug Abuse Research
 - ...plus division for Public Relations and Institute Resources*



Preliminaries (iii)

- own background:
 - education: Computer Science and Engineering
 - Norsk Regnesentral: applied research
 - FHI: IT project leader
 - register modernization and harmonization
 - electronic data capture
 - *not a security expert nor technology wizard*
- talk covers concepts and work started in 2008...
 - demonstrated in 2012
 - Norsk Helsenett has been NTS service developer and provider
 - FHI has been service "bestiller" and consumer



Preliminaries (iv)

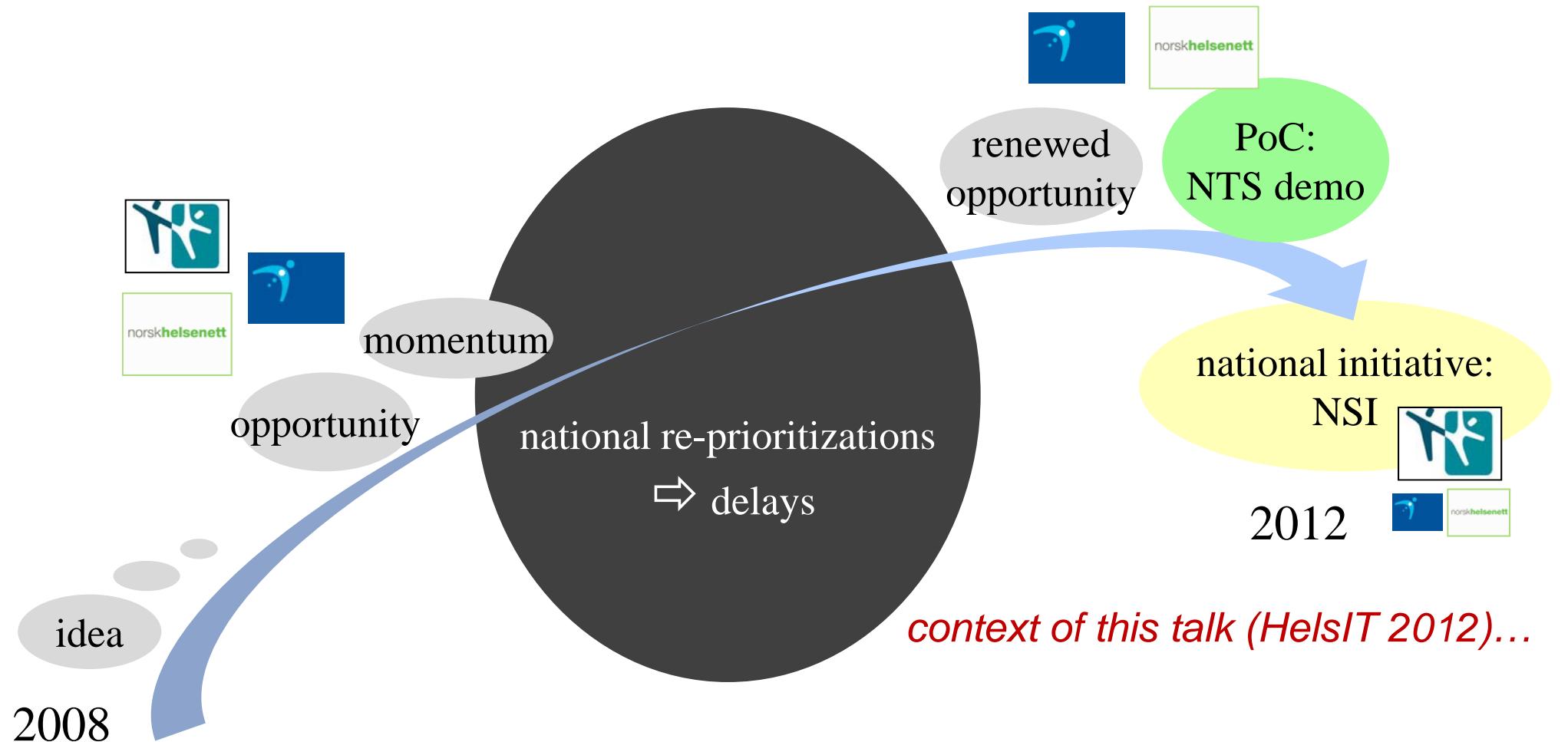
- provisioning (authorization) vs. access control
 - FHI: provisioning not yet managed within a centralized architectural component...
- personal certificates
 - "personlig kvalifisert sertifikat" (Datatilsynet) vs. "nivå 4" innlogging / autentisering (ID Porten)
 - privately acquired certificates vs. certificates from employing organization



Abbreviations & icons

- NHN 
 - Norsk Helsenett
 - Norwegian Health Net
- Hdir 
 - Helsedirektoratet
 - The Norwegian Directorate of Health
- HOD
 - Helse- og omsorgsdepartementet
 - The Ministry of Health and Care Services
- DIFI
 - Direktoratet for forvaltning og IKT
 - Agency for Public Management and eGovernment
- FHI 
 - Nasjonalt folkehelseinstitutt
 - The Norwegian Institute of Public Health
- TpT
 - Tilgang-på-tvers
 - "access across administrative domains"

Timeline, context and agenda



Objective of talk (HelsIT 2012)



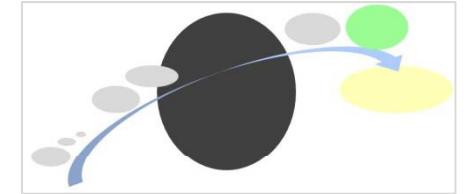
- to describe the NTS concept and PoC
- to clarify its position with respect to the Norwegian Directorate of Health's pre-study (NSI):
"National security infrastructure for the health care sector"

Message to NSI:

- NTS is a PoC, not a final and finished solution!
- however: NTS can be adapted to help address national needs



Agenda (slide count)



- present NTS motivation and background history (9)
- “fly-by” SYSVAK Web (the demonstration vehicle) (4)
- describe purpose of the NTS PoC (2)
- illustrate how NTS could be used (20)
- architectural characteristics (1)
- propose areas deserving further work and study (7)
- summary (6)

NTS motivation and background

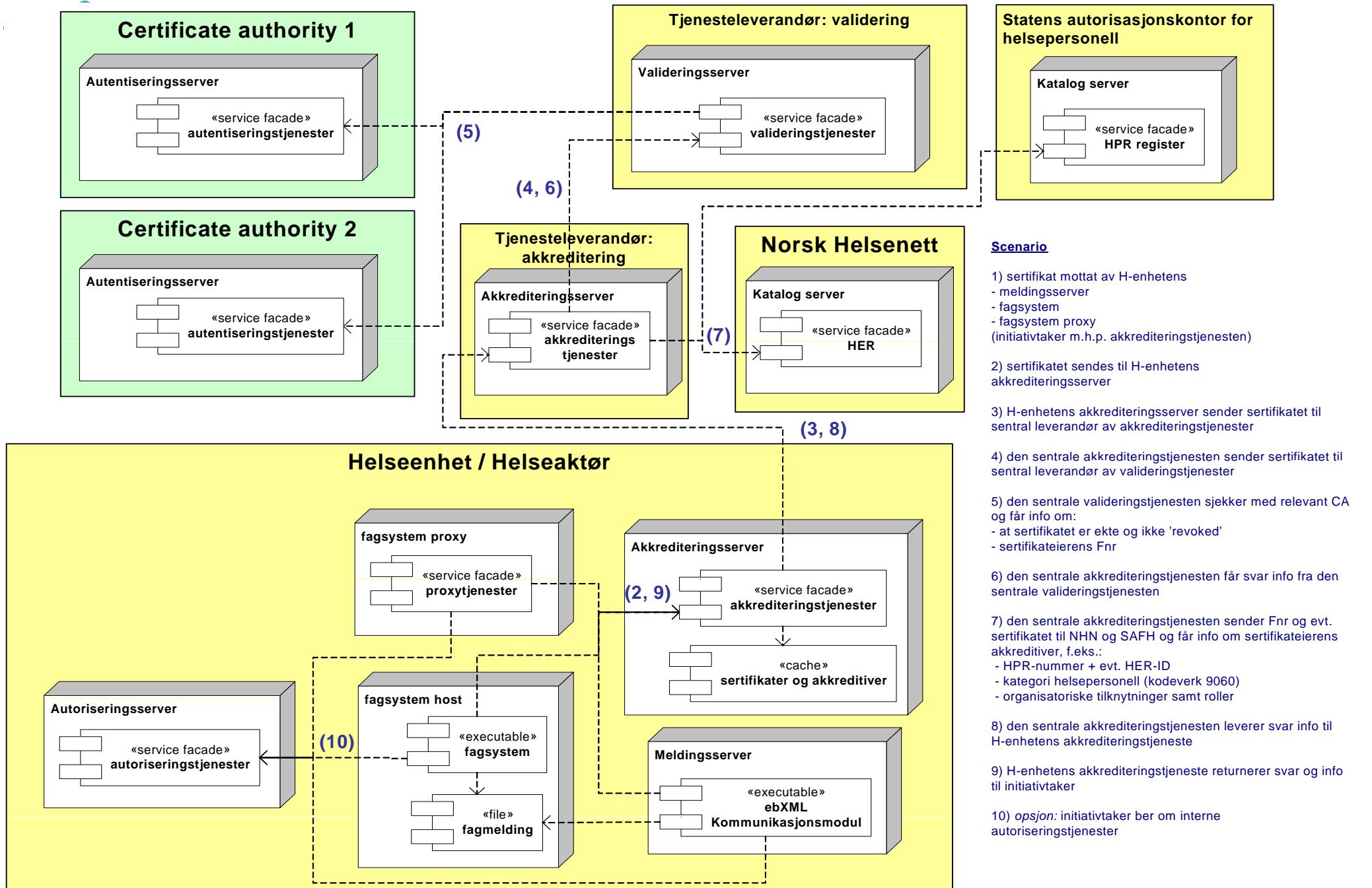
- FHI alone has responsibility for more than half of Norway's central health registers
- project to modernize SYSVAK (Nat'l. vaccination register)
 - opportunity for registration and search services
 - possibility for web-based registration app.
 - discussions with the Norwegian Data Protection Authority begin in 2007
- SYSVAKs design service-oriented
 - new *message-based* SYSVAK opened Dec. 2008

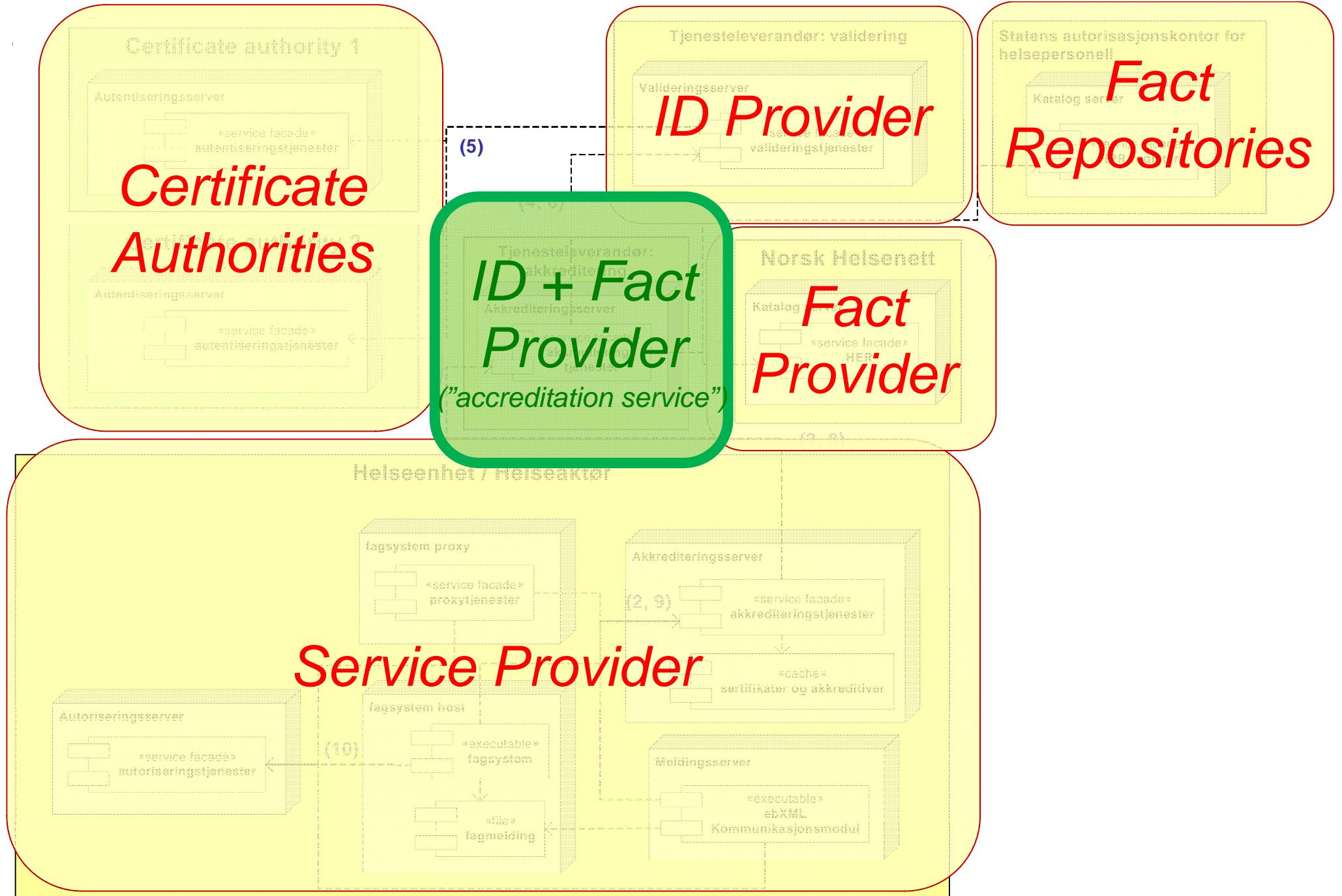


NTS motivation and background (ii)

- 2008
 - FHI proposes a “centralized accreditation service” for (S)HDIR and Norsk Helsenett
 - no actor able / ready to lead a pre-project









*ID + Fact
Provider*

(*"accreditation service"*)



Service Provider

NTS motivation and background (iii)

- 2009 (A1H1 pandemi)
 - PANVAK: a simple web-registration system
 - authentication via MinID
 - access control based on weekly copies of HPR data
 - HPR copies and semi-manual update archaic



NTS motivation and background (iv)

- 2010: 'Dagens Helsetall'
 - FHI-initiated program of work
 - Mine registerdata project started in 2010
- Mine registerdata project goals
 - develop a solution for access to one's own health data
 - drive efforts to establish a common accreditation service for the health sector



NTS motivation and background (v)

- 2010-2011
 - HOD decides upon helesenorge.no
 - FHI proposes "Mine vaksiner" project
 - FHI receives funding from Hdir
- project deliverables
 - to offer access to one's own vaccination data
 - PoC to demonstrate access to FHIs health register data for health personnel ("NTS PoC")
 - PoC for delivery of vaccination data as a service on Norsk Helsenett's service platform / service bus



NTS motivation and background (vi)

- 2011
 - Helseinformasjonssikkerhetsforskriften (HISF)
- Q1 2012:
 - Mine vaksiner project's PoCs cancelled due to delays
 - Norsk Helsenett and FHI pursue the NTS PoC at own tempo
- Q2 2012:
 - SYSVAK Web technically completed, but without NTS use

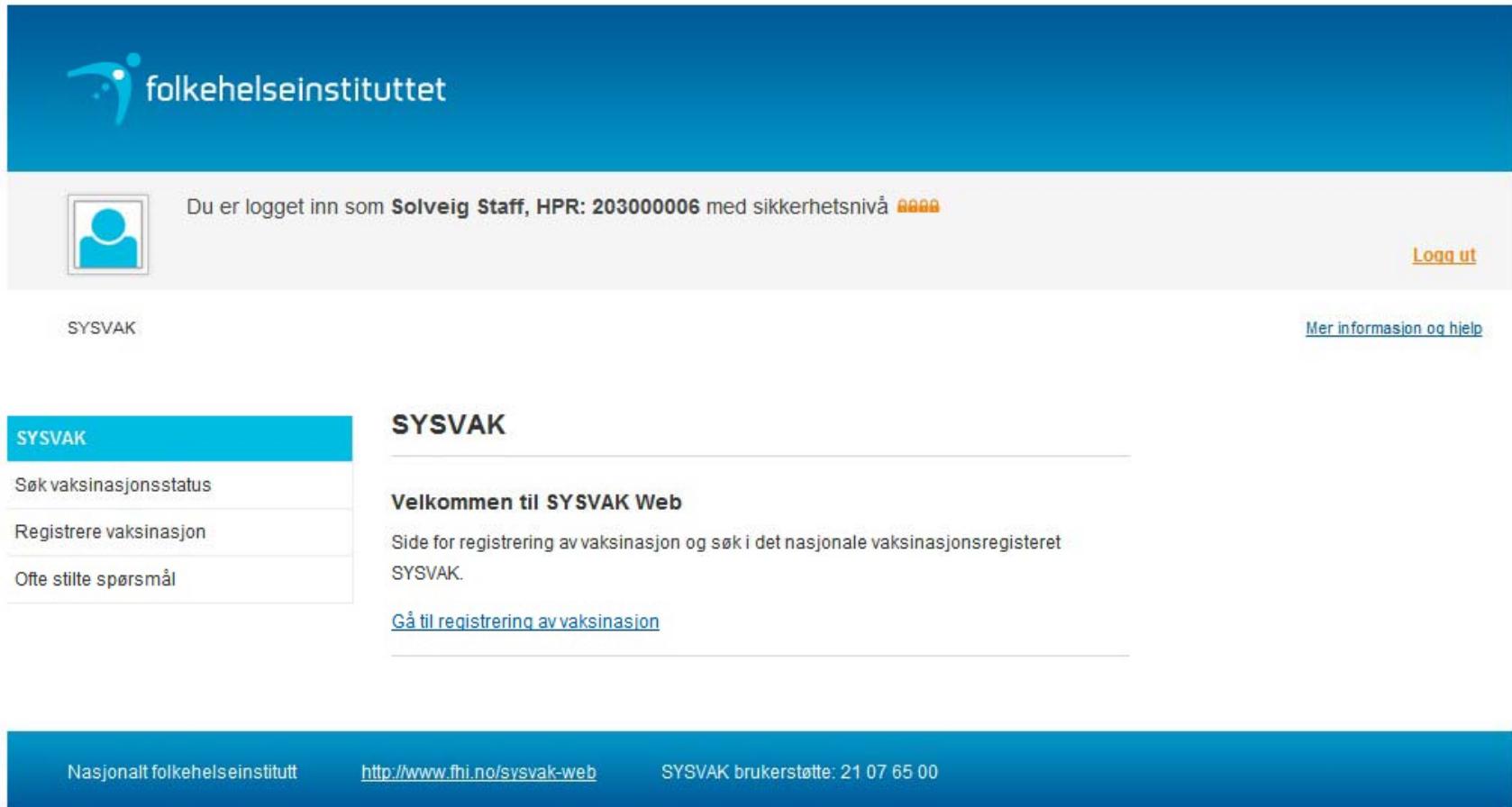


Agenda

- present NTS motivation and background history
- “fly-by” SYSVAK Web
- describe purpose of the NTS PoC
- illustrate how an NTS could be used
- examine its architectural characteristics
- propose areas deserving further work and study

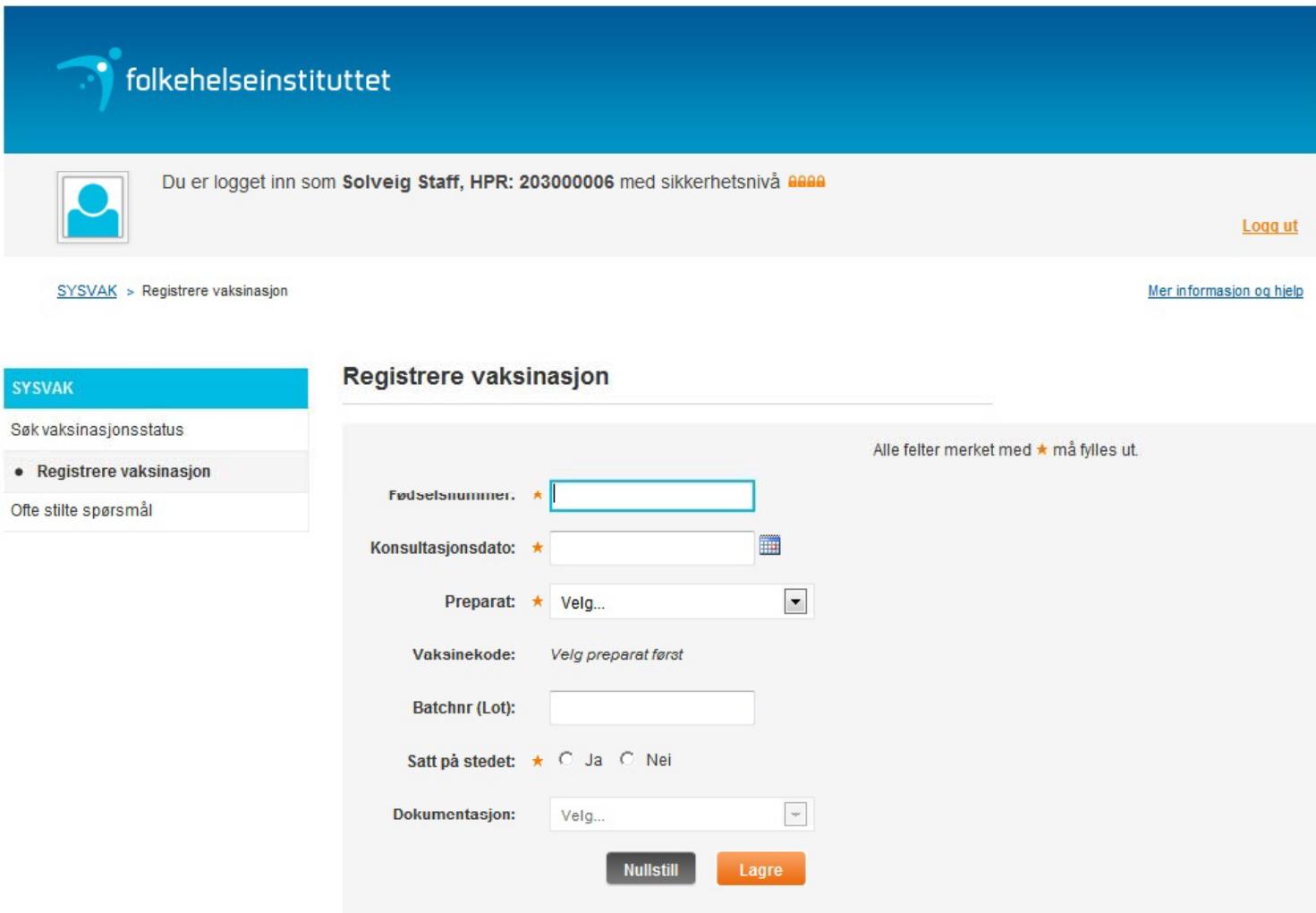


SYSVAK Web: hovedside



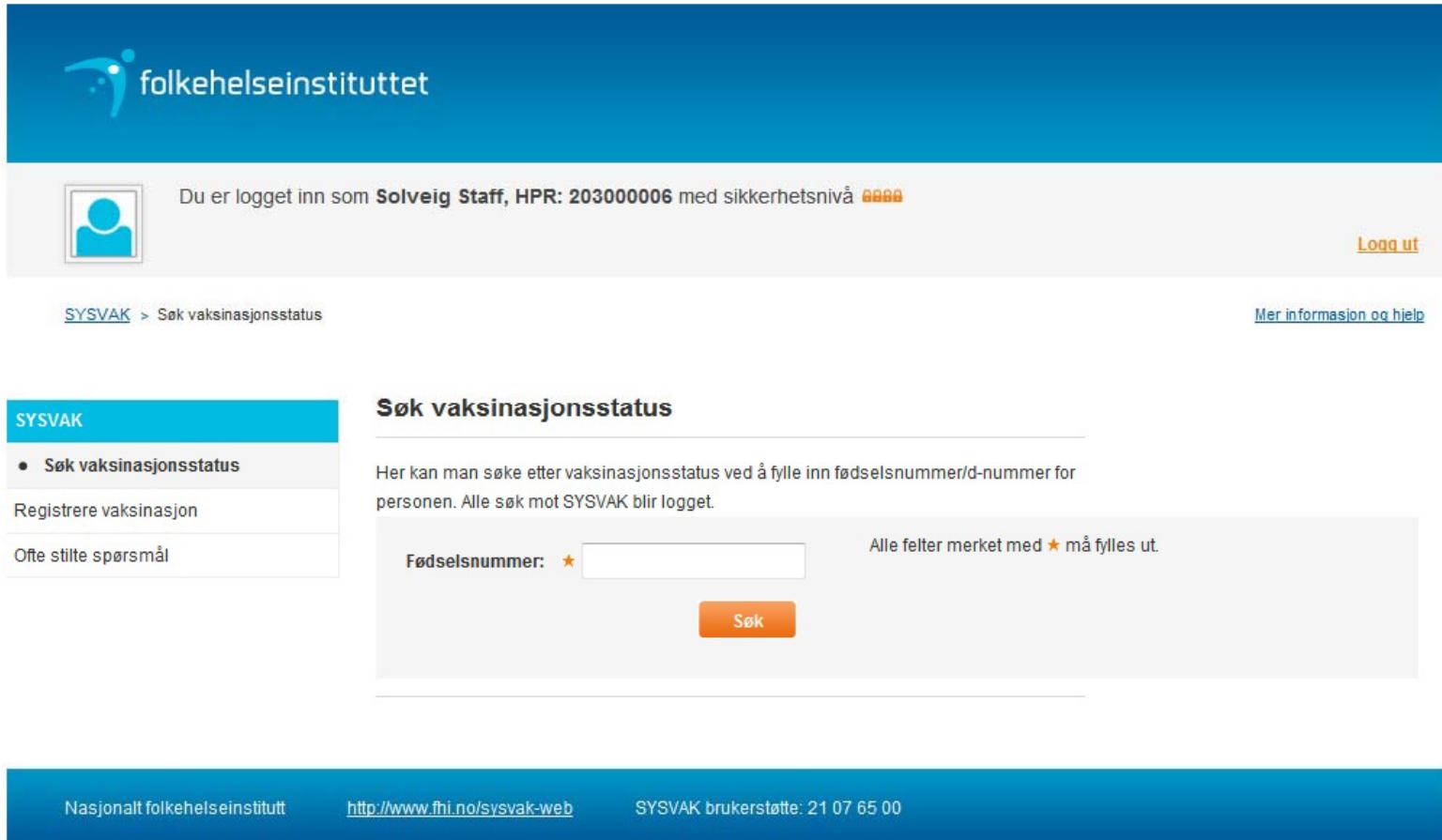
The screenshot shows the SYSVAK Web homepage. At the top, there is a blue header bar with the Folkehelseinstituttet logo on the left. Below the header, a user profile icon and the text "Du er logget inn som Solveig Staff, HPR: 203000006 med sikkerhetsnivå 🟠🟠🟠" are displayed. To the right of the profile icon is a "Logg ut" link. The main content area has a white background. On the left, a vertical navigation menu bar is titled "SYSVAK" and contains links for "Søk vaksinasjonsstatus", "Registrere vaksinasjon", and "Ofte stilte spørsmål". The main content area is also titled "SYSVAK" and features a "Velkommen til SYSVAK Web" section with a brief description of the service and a link to "Gå til registrering av vaksinasjon". At the bottom of the page, a blue footer bar contains the text "Nasjonalt folkehelseinstitutt", the website URL "<http://www.fhi.no/sysvak-web>", and the phone number "SYSVAK brukerstøtte: 21 07 65 00".





The screenshot shows the SYSVAK Web registration interface. At the top, there's a header with the FHI logo and the text "SYSVAK Web: registrering". Below the header is a blue navigation bar with the FHI logo and the text "folkehelseinstituttet". On the left, a sidebar menu has "Registrere vaksinasjon" selected. The main content area is titled "Registrere vaksinasjon". It contains fields for "Fødselsnummer" (with a red asterisk), "Konsultasjonsdato" (with a red asterisk), "Preparat" (with a red asterisk, dropdown menu open), "Vaksinekode" (placeholder "Velg preparat først"), "Batchnr (Lot)", "Satt på stedet" (radio buttons for "Ja" and "Nei"), "Dokumentasjon" (dropdown menu open), and two buttons at the bottom: "Nullstill" and "Lagre". A note above the "Preparat" field says "Alle felter merket med ★ må fylles ut."

SYSVAK Web: søker



Du er logget inn som **Solveig Staff, HPR: 203000006** med sikkerhetsnivå **AAAA**

[Logg ut](#)

[SYSVAK](#) > Søk vaksinasjonsstatus [Mer informasjon og hjelp](#)

SØK VAKSINASJONSSTATUS

Her kan man søke etter vaksinasjonsstatus ved å fylle inn fødselsnummer/d-nummer for personen. Alle søk mot SYSVAK blir logget.

Fødselsnummer: ★ Alle felter merket med ★ må fylles ut.

Søk

Nasjonalt folkehelseinstitutt <http://www.fhi.no/sysvak-web> SYSVAK brukerstøtte: 21 07 65 00



SYSVAK

- Søk vaksinasjonsstatus

Registrere vaksinasjon

Otte stiltre spørsmål

Søk vaksinasjonsstatus

Her kan man søke etter vaksinasjonsstatus ved å fylle inn fødselsnummer/d-nummer for personen. Alle søk mot SYSVAK blir logget.

Fødselsnummer: ★

All felet merket med ★ må fylles ut.

Søk

Vaksinasjonskort	Certificate of Vaccination
Etternavn	Last name:
Fornavn	First name:
Fødselsnummer	National ID number:
Vaksinasjon Vaccination	Vaksinasjonsdato Vaccination date (dd.mm.åååå) (dd.mm.yyyy)
Difteri Diphtheria	01.10.1965 15.03.2012
HPV-infeksjon HPV-infection	29.06.2011 06.03.2012 28.03.2012
Stivkrampe Tetanus	15.03.2012

Dette er en utskrift fra Nasjonalt vaksinasjonsregister (SYSVAK) ved Nasjonalt folkehelseinstitutt.
Opplysningene er ikke bekreftet fra lokal helseinstitusjon og kan mangle registreringer eller inneholde feil.

This is a printout from National Vaccination Register (SYSVAK) at the Norwegian Institute of Public Health. This information has not been confirmed with respect to the individual's medical records and can therefore be incomplete or contain erroneous data.

Utstedelsesdato - Date of issue: 24.08.2012
Kilde - Source: Nasjonalt folkehelseinstitutt, Norwegian Institute of Public Health
SYSVAK - System for vaksinasjonskontroll, The Norwegian Monitoring System of Vaccination

Skriv ut

30 January 2013

NTS: centralized authentication and access control support

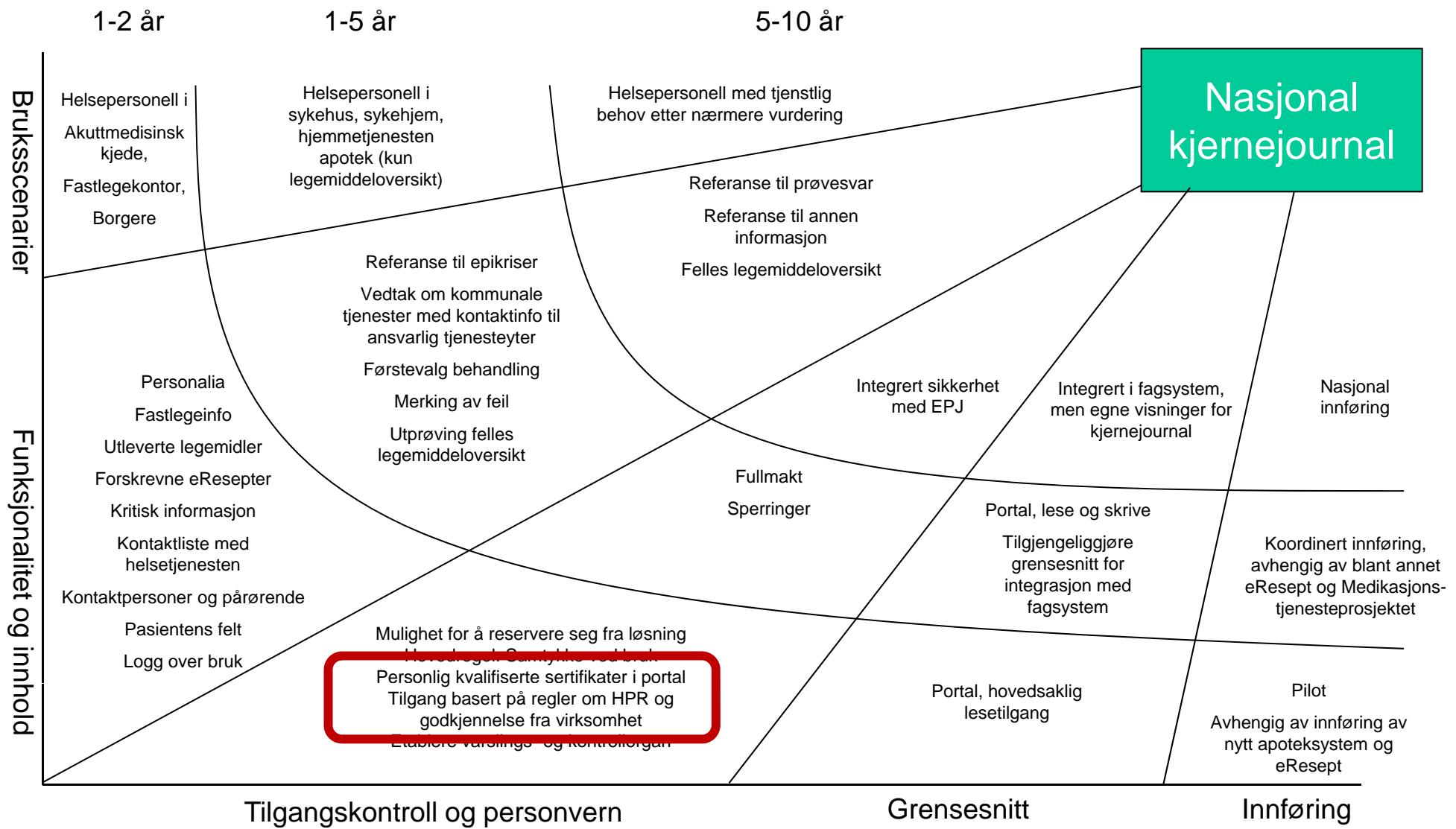
Purpose of the NTS PoC

- to study and address core issues around centralized support for authentication and access control
 - architectural investigation
 - technology validation

Kjernejournal (målbilde)...



Kjernejournal - funksjonelt målbilde



Agenda

- present NTS motivation and background history
- “fly-by” SYSVAK Web
- describe purpose of the NTS PoC
- **illustrate how an NTS could be used**
- examine its architectural characteristics
- propose areas deserving further work and study



NTS concept and variations of possible use

- contrast with SYSVAK Web prod
- NTS use: logical overview of alternatives
- NTS evolution: use of alternative ID providers



NTS concept

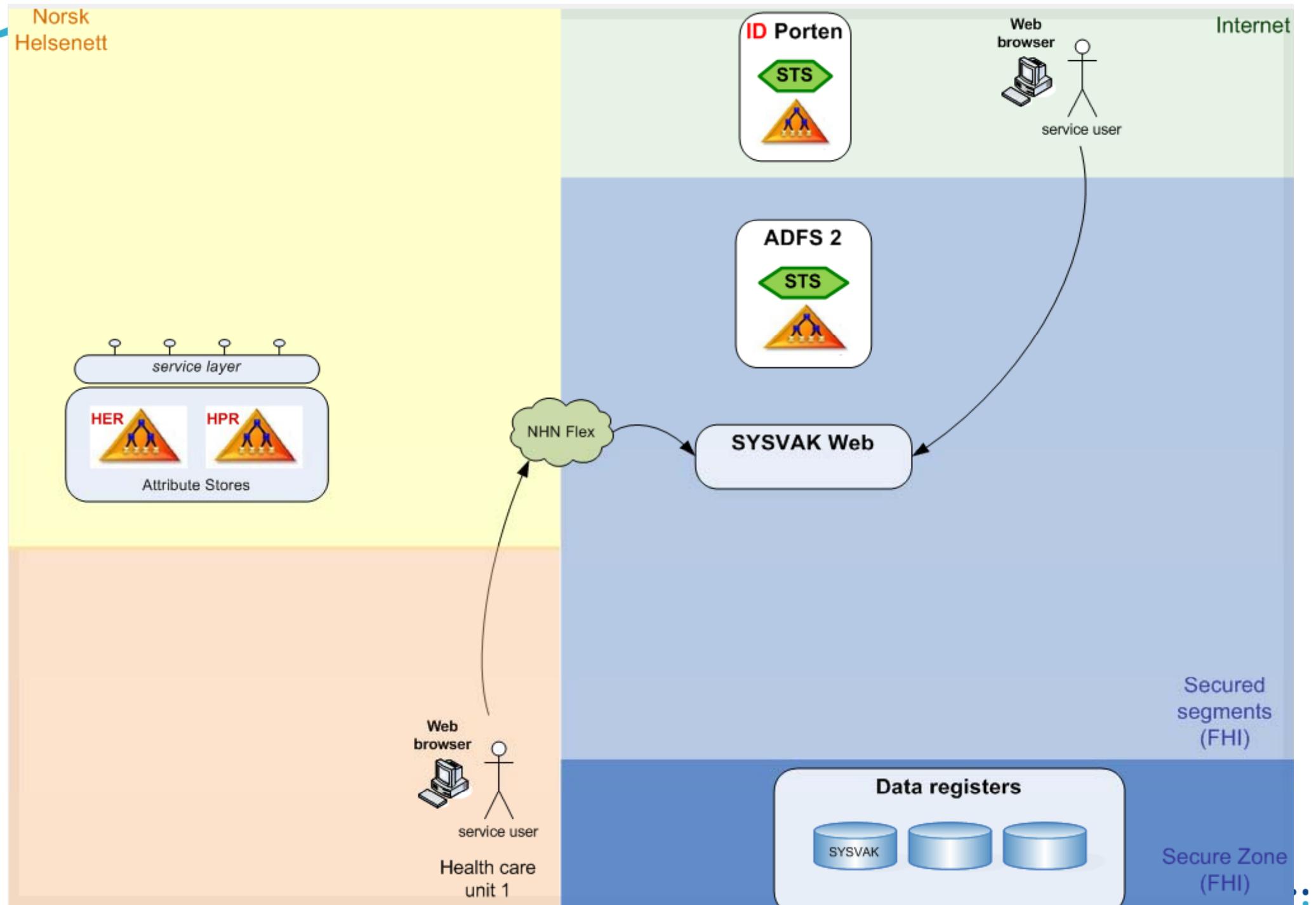
- contrast with SYSVAK Web prod
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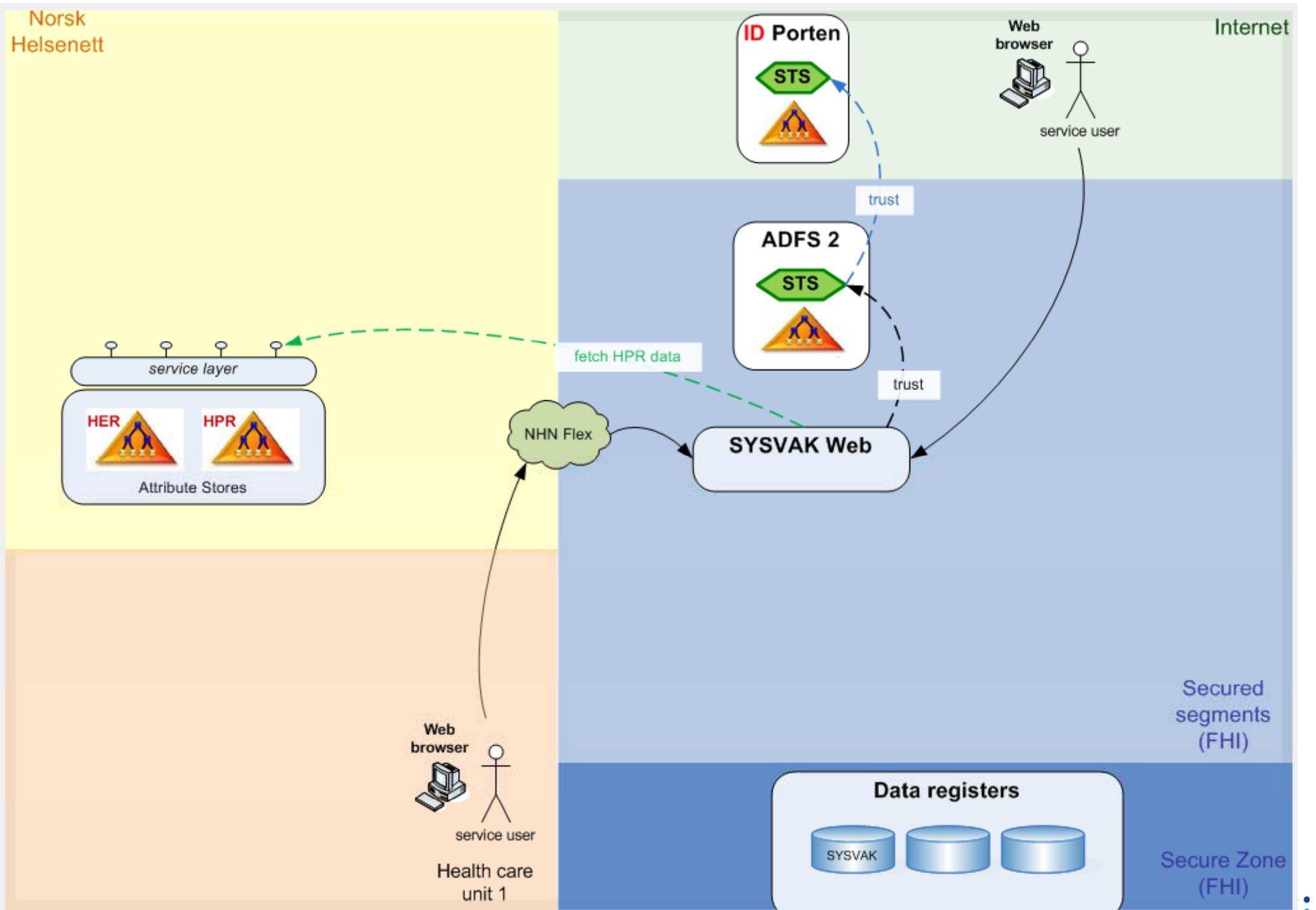


SYSVAK Web

- deployment pending fulfillment of legal relations
- no NTS use







NTS concept

- contrast with SYSVAK Web prod
- **NTS use: logical overview of alternatives**
- NTS evolution: logical overview of alternatives

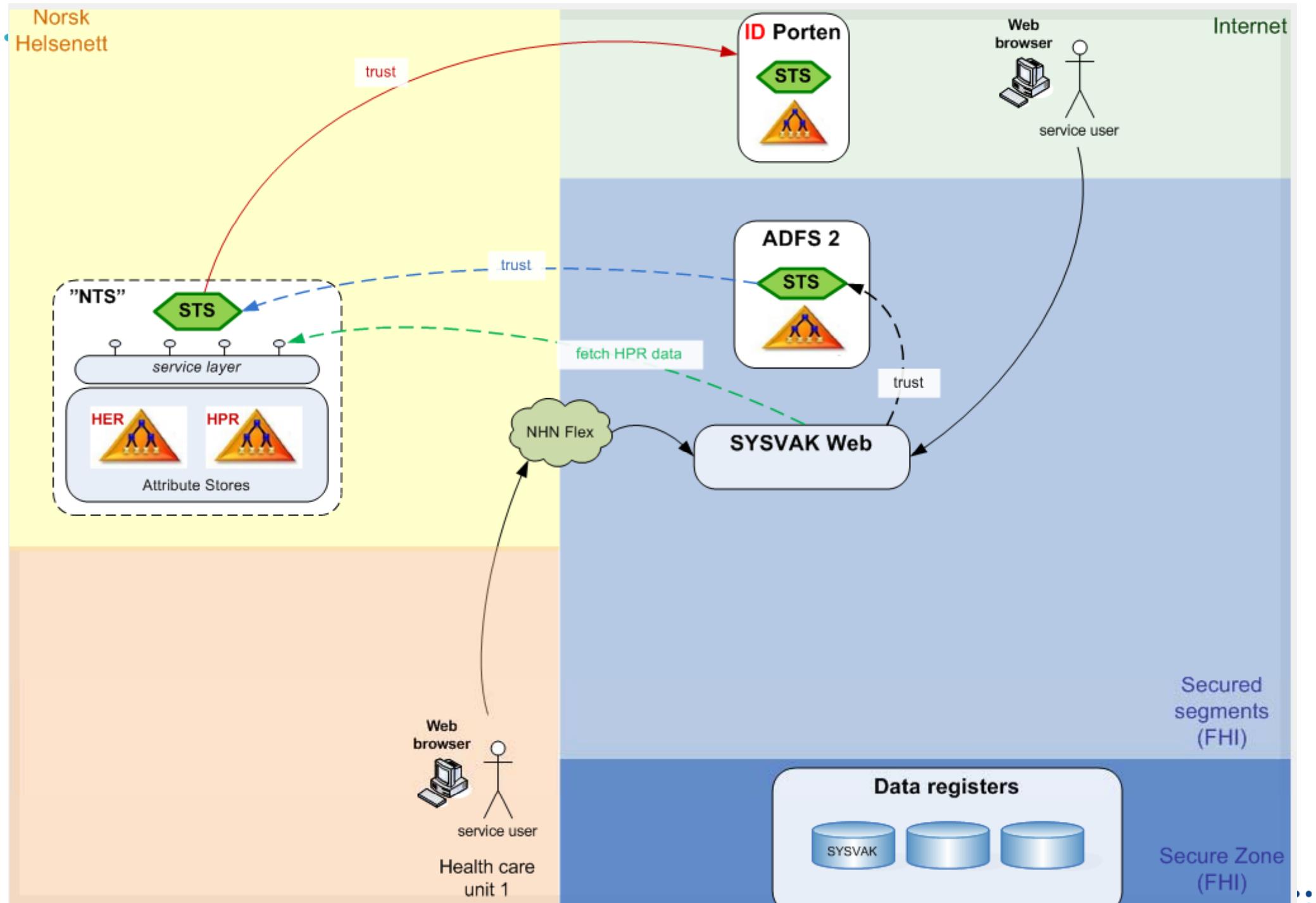


NTS use: logical overview of alternatives

- for authentication support only:
 - 1) NTS uses an ID provider for authentication*
 - 2) call from application to NHNs HPR service to obtain attributes for access control

*NTS PoC offers either ID-porten or another ID provider

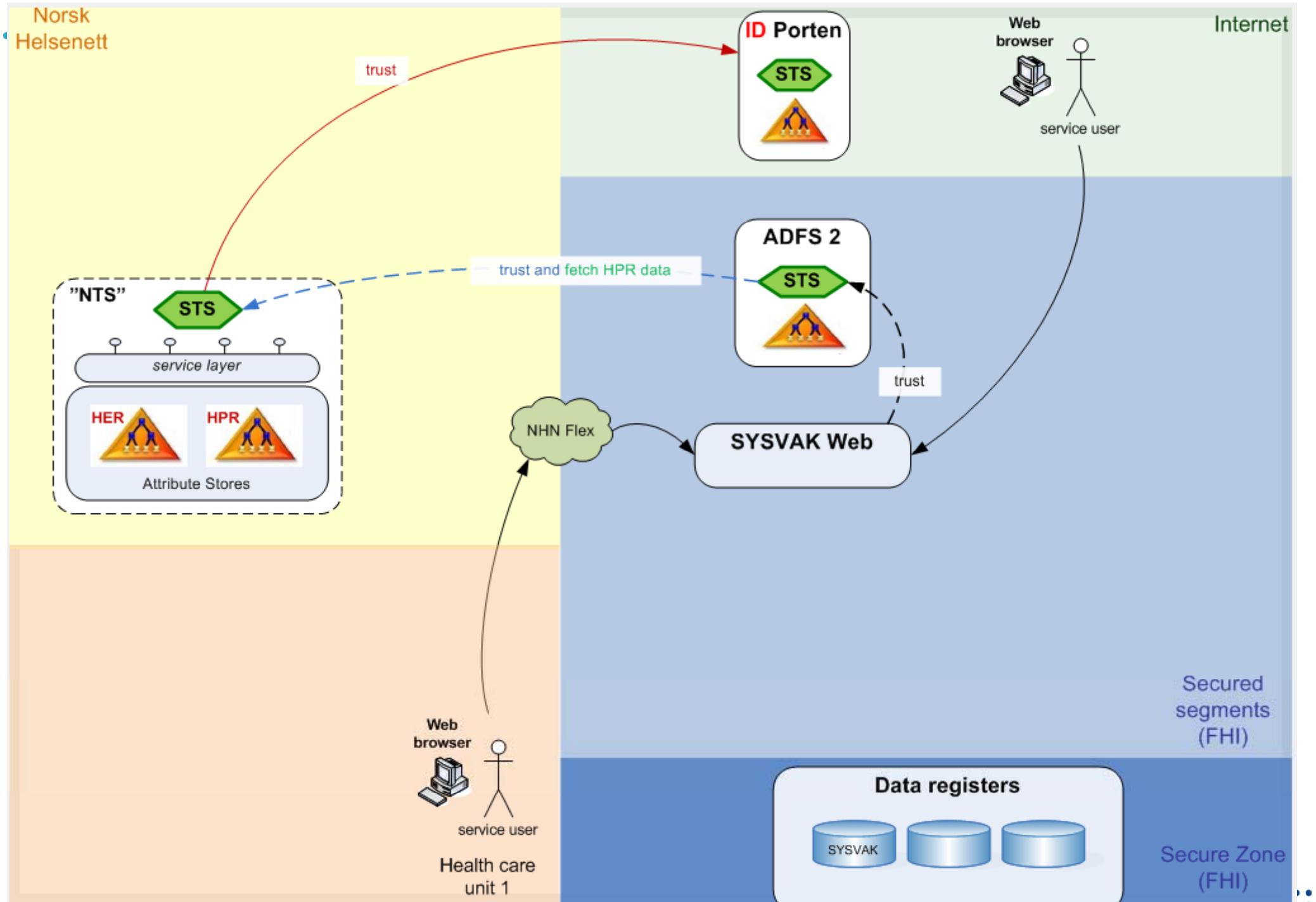


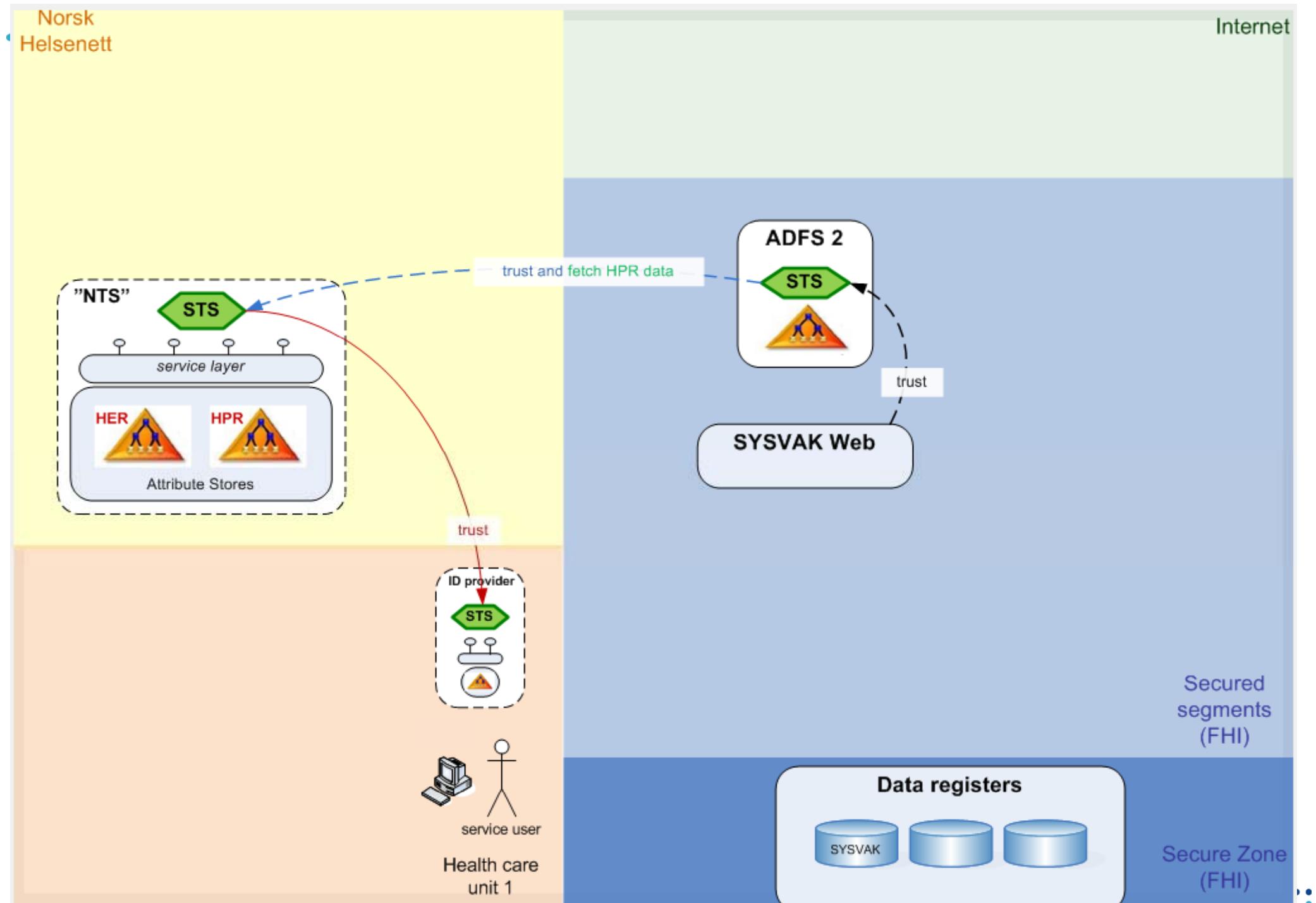


NTS use: logical overview of alternatives

- for authentication and access control support
 - NTS uses an ID provider for authentication
 - NTS returns token which includes HPR attributes



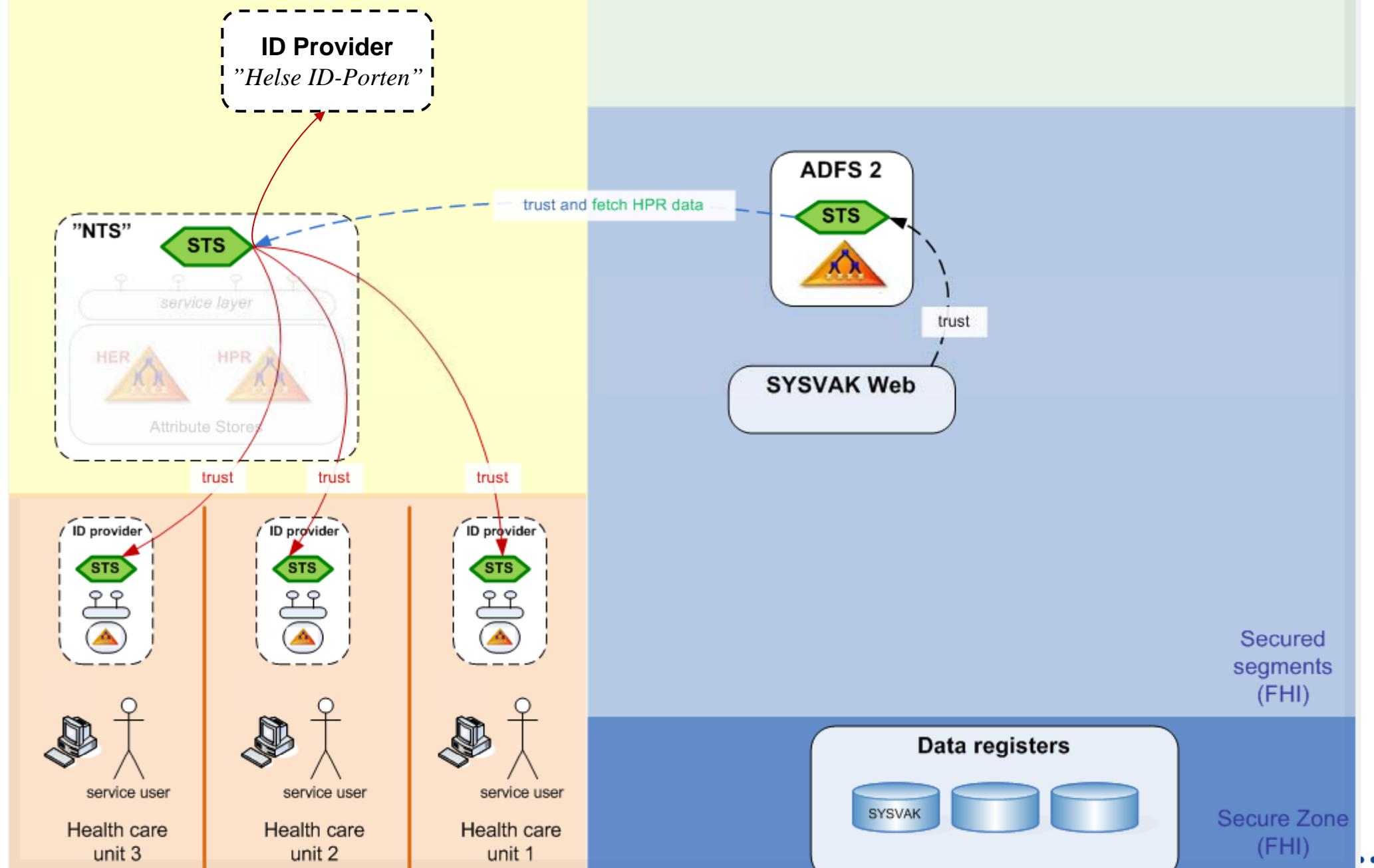




NTS concept

- contrast with SYSVAK Web prod
- NTS use: logical overview of alternatives
- **NTS evolution: use of alternative ID providers**





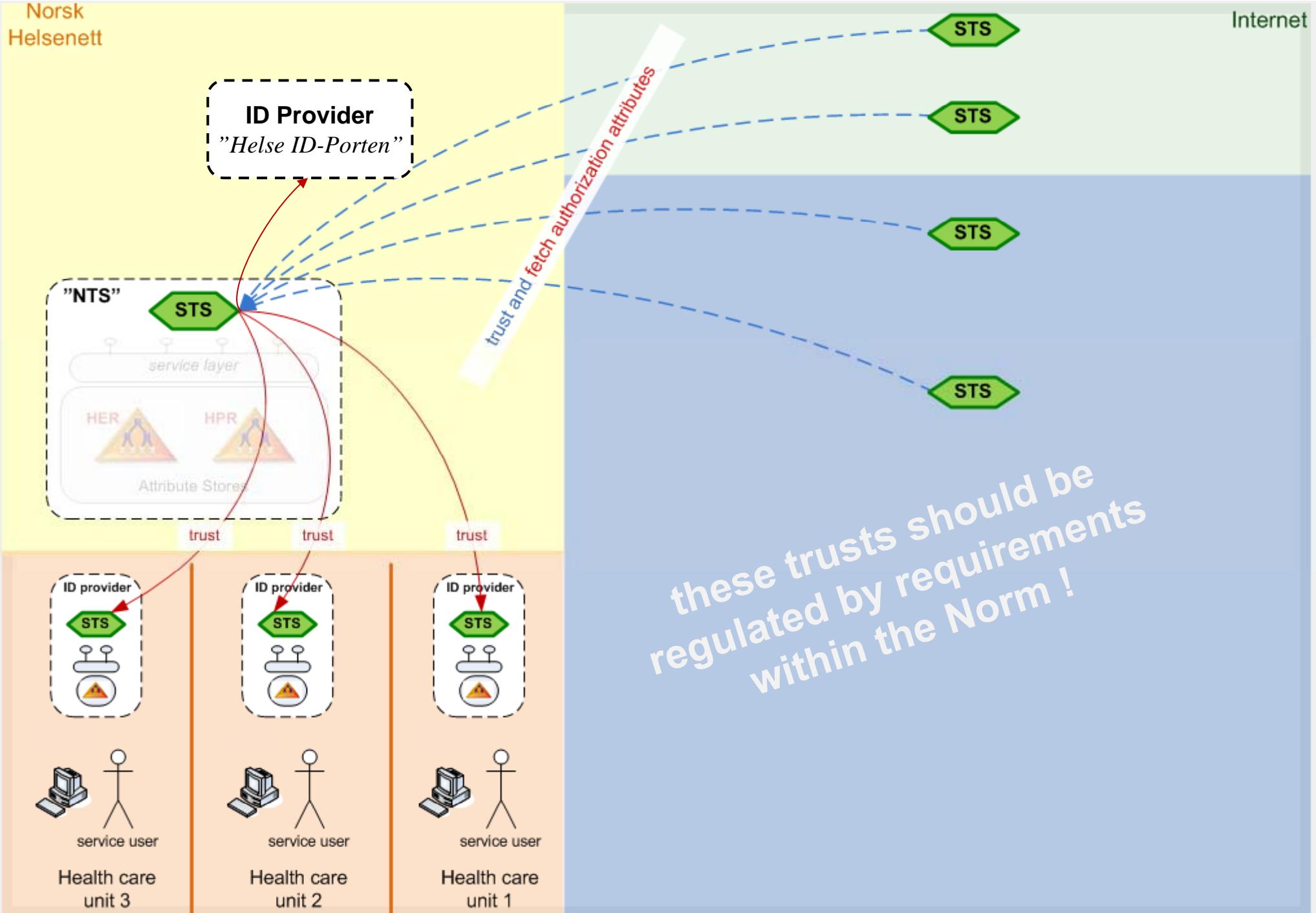


Illustration of NTS use (from demo)...



Sign In

fs.test.nhn.no

The site that you are accessing requires you to sign in. Select your organization from the following list:

ID-porten Verifikasjonsmiljø

fs.test.nhn.no

fs.test.nhn.no

service layer

Attribute Stores

HER HPR

3

Norsk helsenett test login

Select an electronic ID to identify yourself:

MinID **SELECT**

Bypass **SELECT**

Commfides **SELECT**

MinID: Use your personal password and code from SMS or PIN code letter.

Bypass: Use smart card and card reader from Bypass.

Commfides: Use your Commfides USB stick.

How to obtain an electronic ID

4

Sign In

stage-auth.fhi.no

Bla bla HomeRealm....

The site that you are accessing requires you to sign in. Select your organization from the following list:

fs.test.nhn.no

stage-auth.fhi.no

fs.test.nhn.no

IdPorten

https://stage-registerdata.fhi.no/sysvak/

1

folkehelseinstituttet

Du er logget inn som Solveig Psa Staff, HPR: 203000006 med sikkerhetsnivå

Logout

SYSVAK

Sek vaksinasjonsstatus

Registrere vaksinasjon

Ofte stilte spørsmål

SYSVAK

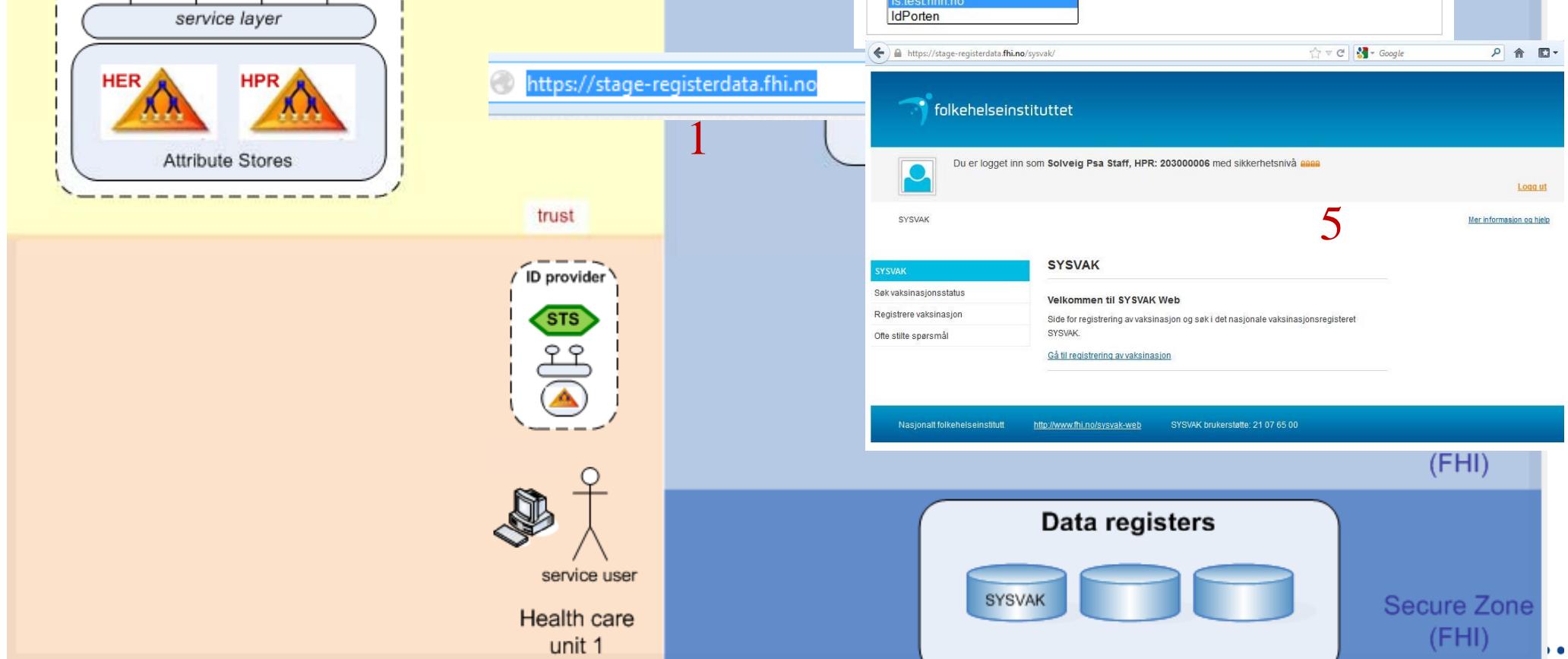
Velkommen til SYSVAK Web

Side for registrering av vaksinasjon og sek i det nasjonale vaksinasjonsregisteret SYSVAK.

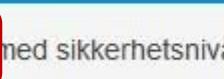
Gå til registrering av vaksinasjon

Nasjonalt folkehelseinstitutt <http://www.fhi.no/sysvak-web> SYSVAK brukerstøtte: 21 07 65 00

5



 folkehelseinstituttet

 Du er logget inn som **Solveig Psa Staff** HPR: 203000006 med sikkerhetsnivå  HPR: 203000006          

[Logg ut](#)

SYSVAK

[Mer informasjon og hjelpe](#)

SYSVAK

[Søk vaksinasjonsstatus](#)

[Registrere vaksinasjon](#)

[Ofte stilte spørsmål](#)

SYSVAK

Velkommen til SYSVAK Web

Side for registrering av vaksinasjon og søk i det nasjonale vaksinasjonsregisteret
SYSVAK.

[Gå til registrering av vaksinasjon](#)



https://stage-registerdata.fhi.no/claims.aspx

Google

ClaimType	Value	Issuer	OriginalIssuer
http://fhi.no/uid	04057800203	http://stage-auth.fhi.no/adfs/services/trust	idporten-ver1.difi.no
http://fhi.no/SecurityLevel	4	http://stage-auth.fhi.no/adfs/services/trust	idporten-ver1.difi.no
http://fhi.no/hprnumber	203000006	http://stage-auth.fhi.no/adfs/services/trust	http://fs.test.nhn.no/adfs/services/trust
http://fhi.no/firstname	Solveig	http://stage-auth.fhi.no/adfs/services/trust	http://fs.test.nhn.no/adfs/services/trust
http://fhi.no/lastname	Staff	http://stage-auth.fhi.no/adfs/services/trust	http://fs.test.nhn.no/adfs/services/trust
http://fhi.no/profession	Helsesøster	http://stage-auth.fhi.no/adfs/services/trust	http://fs.test.nhn.no/adfs/services/trust
http://fhi.no/requisitionright	Full rekvisjonsrett	http://stage-auth.fhi.no/adfs/services/trust	http://fs.test.nhn.no/adfs/services/trust
http://schemas.microsoft.com/ws/2008/06/identity/claims/authenticationmethod	http://schemas.microsoft.com/ws/2008/06/identity/authenticationmethod/smardcardpki	http://stage-auth.fhi.no/adfs/services/trust	http://stage-auth.fhi.no/adfs/services/trust
http://schemas.microsoft.com/ws/2008/06/identity/claims/authenticationinstant	2012-09-06T09:22:01.000Z	http://stage-auth.fhi.no/adfs/services/trust	http://stage-auth.fhi.no/adfs/services/trust



local
*ID + Fact
Provider*

Service Provider

*Service
Provider*

*Service
Provider*

Architectural characteristics (DIFI)

- "Overarching ICT Architecture Principles for the Public Sector"
 - Service-orientation
 - Interoperability
 - Accessibility
 - Security
 - Openness
 - Flexibility
 - Scalability
- NTS architecture reflects thoughtful consideration and application of these principles



Areas deserving further work and study

- claim standardization
 - identify and specify claims needed to satisfy HISF
 - resolution of claim dependency issues
 - representation of claim dependencies



Claim standardization

- identify and specify claims needed to satisfy HISF
 - SYSVAK Web motivated choice for claims within NTS PoC
 - claim source: verifiable facts vs. "assertions" (egenerklæringer)
 - shared kodeverk for 'role'
- resolution of claim dependency issues
- representation of claim dependencies



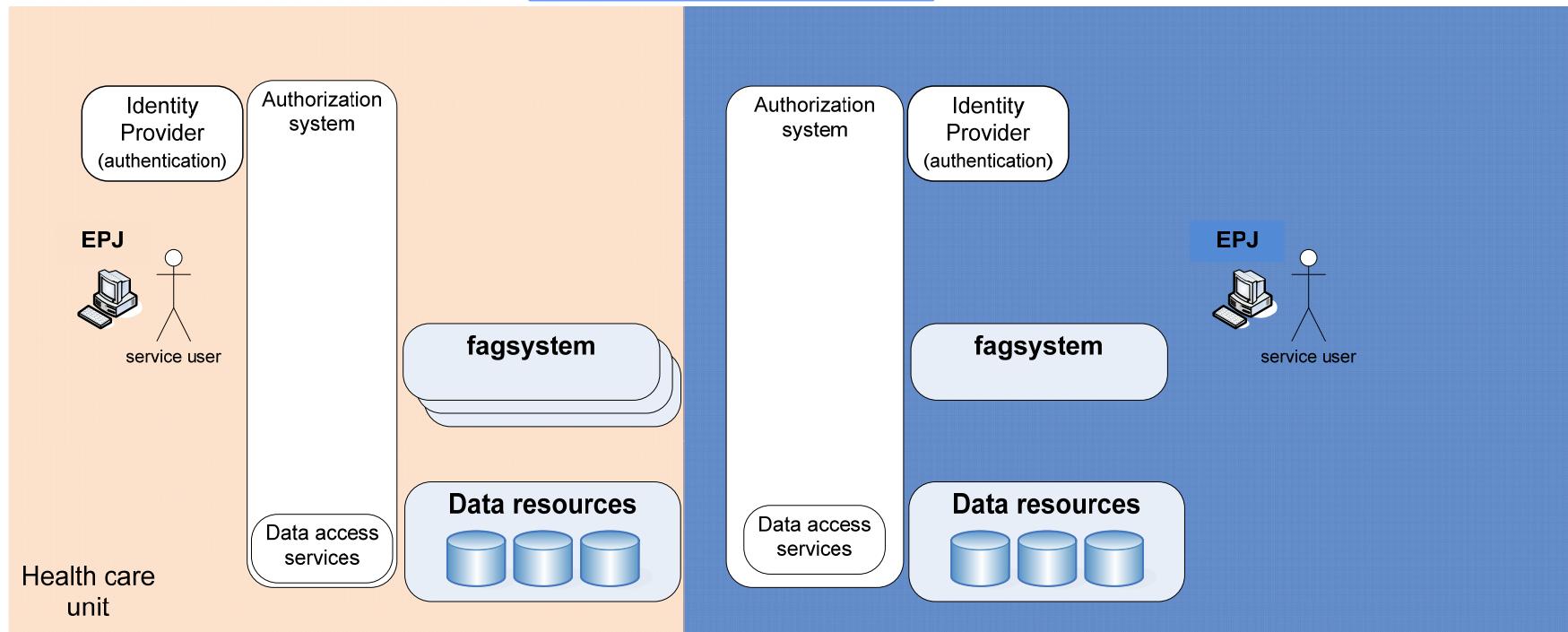
Candidate claims for standardization

Claim set				needed for TpT PoC	needed for andre FHI tjenester	needed for nasjonal standard	original kilde	kodeverk
claim concept	claim spec	comment						
<...> hprNummer	http://safh.no/hpr/claims/hprnumber	ref § 8, 16 (gir navn)		Ja	Ja	Ja	HPR	NA
<...> hprEtternavn	http://nhn.no/identity/claims/lastname			Ja	Ja	Ja	?	NA
<...> hprFornavn	http://nhn.no/identity/claims/firstname			Ja	Ja	Ja	?	NA
<...> hprMellomnavn	http://nhn.no/identity/claims/middlename			Ja	Ja	Ja	?	NA
<...> hprKategori	http://safh.no/hpr/claims/profession			Ja	?	Ja ?	HPR	SAFH / HPR
<...> hprAutorisasjon	http://safh.no/hpr/claims/authorization			Ja	?	Ja ?	HPR	SAFH / HPR
<...> hprSpesialitet	http://safh.no/hpr/claims/speciality			Nei			HPR	SAFH / HPR
securityLevel	http://nhn.no/identity/claims/securitylevel			Ja	Ja	Ja	ID-porten	
fødselsnummer	http://nhn.no/identity/claims/citizenid			Ja	Ja	Ja	ID-porten	
<...> hprRekvisisjonsrett	http://safh.no/hpr/claims/requisitionright			Nei	Ja	Ja ?	HPR	SAFH / HPR
<...> rolleIftPasient		ref § 8, 16		Nei		Ja	egenerklæring fra helseperson	OID 9034
<...> herOrgTilhørighet		ref § 16		Nei		Ja	HER	HER-ID
<...> formaalMedTilgang		ref § 8, 10, 16		Nei		Ja	egenerklæring fra helseperson	må lages
<...> pasientSamtykke		ref § 13		Nei	?	?	egenerklæring fra helseperson	må lages
<...> tilgangstyp		les, skriv				?	egenerklæring fra helseperson	må lages



Helsepersonells funksjoner m.v. i forhold til pasient (OID=9034)	
Beskrivelse Dette kodeverket inneholder koder som benyttes for å angi hvilken funksjoner og roller helsepersonell har i forhold til pasienten. Primært beregnet til bruk i hodemeldingen.	
Organisasjoner Ansvarlig organisasjon KITH AS Registrert av KITH AS	
Inngår i EPJ-standard	
Status Per 10. februar 2011: Til utprøving	
Kodeverdier	
1 Pasientansvarlig lege	Spesialisthelsetjenesteloven § 3-7 m.fl.
2 Pasientansvarlig psykolog	Spesialisthelsetjenesteloven § 3-7 m.fl.
3 Behandlingsansvarlig lege	Fleire bestemmelser
4 Journalansvarlig	Helsepersonelloven § 39
5 Informasjonsansvarlig	Helsepersonelloven § 10
6 Fastlege	Kommunehelsetjenesteloven § 2-1a
7 Faglig ansvarlig for vedtak i psykisk helsevern	Egen forskrift
8 Koordinator Individuel plan	Forskrift om individuelle planer etter helselovgivningen § 5
9 Primærkontakt	
10 Utskrivende lege	eRecept
11 Utskrivende sykepleier	
12 Instituerende lege	
13 Innleggende lege	
14 Ansvarlig jordmor	

shared kodeverk for 'role'



Claim standardization (ii)

- first draft for needed claims
- resolution of claim dependency issues
 - multiple HER-IDs
 - multiple HPR authorizations
- evt. 'disposisjon' from certain requirements in HISF



Claim dependencies



HPR nr.
123456789

HER-ID

12345

HER-ID

67890

HPR authorization 1

HPR authorization N



Virksomhet A



Virksomhet B

- profession 1
- speciality 1i,... speciality 1m

- profession N
- speciality Ni,... speciality Nm



Claim standardization (iii)

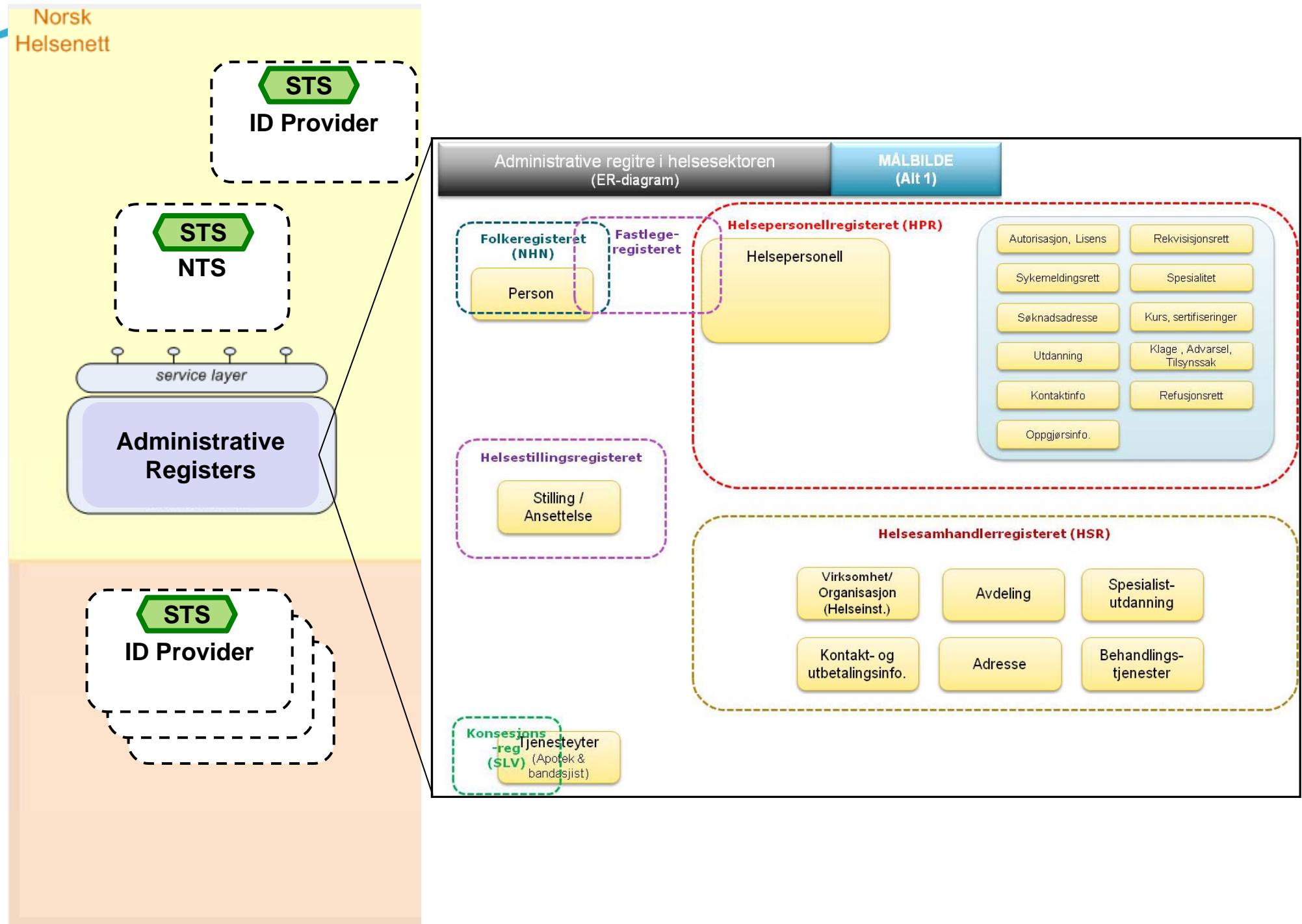
- identify and specify claims needed to satisfy HISF
- resolution of claim dependency issues
- representation of claim dependencies
 - XACML: eXtensible Access Control Markup Language



NTS position (i)

- NTS PoC must be understood as a technology validation for claims-based ID management
- NTS could be one of several common components within a national security infrastructure



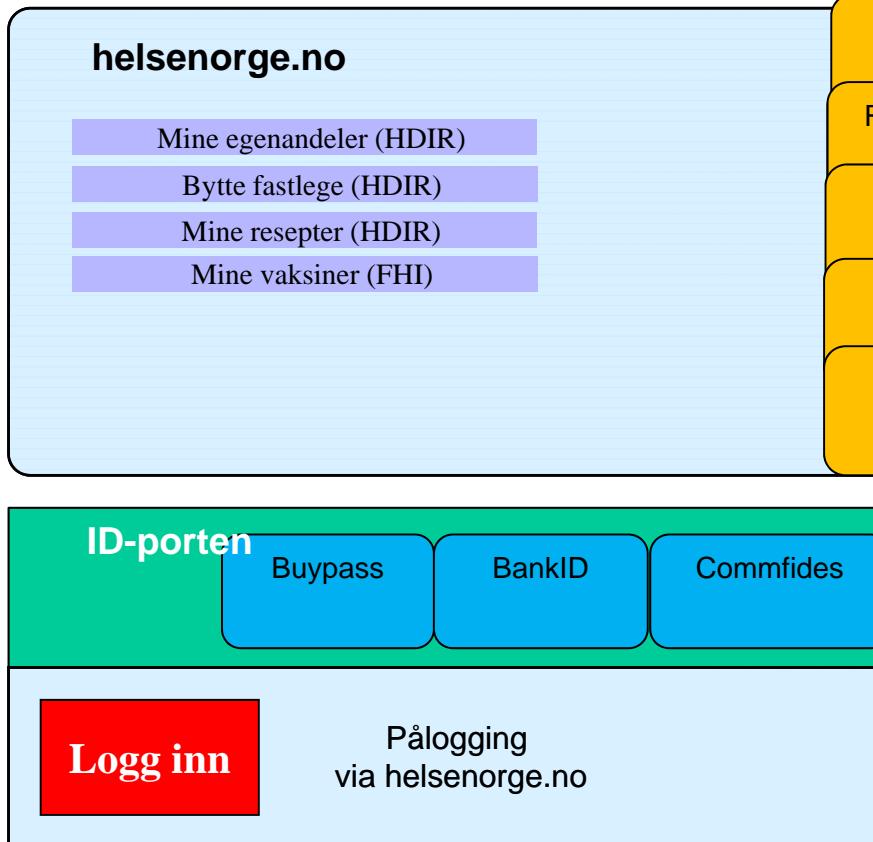


Illustrasjon

Mål

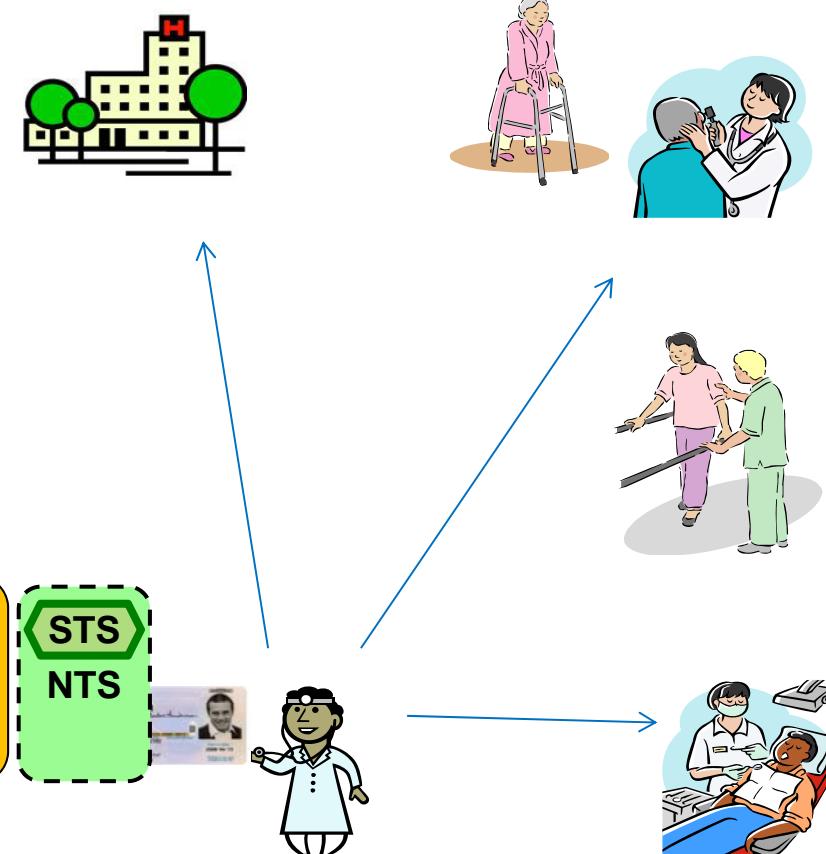
Sikkerhetsinfrastruktur for innbyggere

Internett



Nasjonal sikkerhetsinfrastruktur for helse- og omsorgssektoren

Helsenett



eID for
Innbygger

eID for ansatt i
helsesektor

NTS position (ii)

- NTS PoC must be understood as a technology validation for claims-based ID management
- NTS could be one of several common components within a national security infrastructure
- **the NTS concept and PoC solution design is being provided as input to NSI pre-study**



Expected impacts

- NSI pre-study
 - expected to conclude summer 2013
 - "personlig kvalifisert sertifikat" (Datatilsynet) vs. "nivå 4" innlogging / autentisering (ID Porten)
 - use of ID Porten for authentication of helsepersonnel
 - privately acquired certificates vs. certificates from employing organization
- HOD
 - Melding til Stortinget (Meld. St. 9): "Én innbygger – én journal"



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