# Introduction to sequence similarity searches and sequence alignment

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# Overview of the presentation

#### PART 1

- An example showing how useful bioinformatics can be
- Searching sequence databases
- A walk-through of the BLAST search service

#### PART 2

- Alignments, sequence similarity and homology
- Significance of matches: What is a good match?
- How does BLAST work?

#### PART 3

Iterative searching with a family of proteins (PSI-BLAST)

#### PART 4:

Multiple sequence alignments

# One example of how useful bioinformatics can be

- The protein AlkB was discovered in *E.coli* in 1984.
- It was known that it protected the bacterium when subjected to DNA-alkylation agents.
- No enzymatic activity was found.
- Perhaps some co-factors where missing?
- In 2001, a bioinformatics paper was published that shed light on the problem. Many similar sequences where found using advanced sequence similarity searches ...

http://genomebiology.com/2001/2/3/research/0007.1

Research

The DNA-repair protein AlkB, EGL-9, and leprecan define new families of 2-oxoglutarate- and iron-dependent dioxygenases L Aravind and Eugene V Koonin

Address: National Center for Biotechnology Information, National Library of Medicine, National Institutes of Health, Bethesda, MD 20894, USA.

Correspondence: L Aravind. E-mail: aravind@ncbi.nlm.nih.gov

# Example...

# Alignment showing conserved amino acids among many sequences

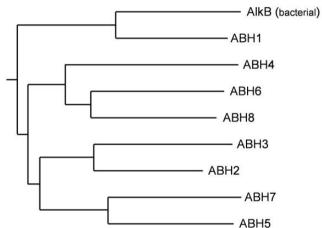
CAS Scla 322266	RSGTVYHDVYP-SPGAHHL-SSETSETLEFFERBMAYHRLOPNYYMLACSRADHERTANTLVASVRK70VTEAVYLEFG-DLLTVDNFRTTHARTPFSPRWDGKDRWLHRVYIRT 302\	
IPNS En 124825	TLASVVEIRYPYLDPYP3KTAADGTKLSPEWEBDVSABROSEPFFVNL 288	
FLAS Pet 421946	IVYLLKINYYP-PCPRPDLALGYVAHIDMS	
LDOX Pet 1730108	LLLOMKINY P-KCPOPELALGY EARTD VSALTFILHNMV EGLOL PYEGOWVTAKCV PN-SIIM HIGD TIELLSNGKYKSIL ROVNKEKVRPSWAIP CEP 311	
Srg At 479047	SVOSMRWNYYP-PCPOPDOVIGHTFESDSVGLTVLMOVNDVEGLOIKKDGKWVPVKPLPN-AFIVNIGDVLEIITNGTYRSIDFRGVVNSEKERLSIATFHNV 309	
EFE Le 398992	PNFGTKYSNYPPCPKPDLIKGERAETDAGGITLLFODDKVSGLCLLKDEQWIDVPPMRH-SIVVNLGDQLEVITNGKYKSVLERVIAGTDGTRMSLASFYNP 253 Small	
Ga200x Sot 10800976	NESIMRLNYYPTCOKPDLALGTGFTCDPTSLTILHODSVSGLCVFMDNOWRSISPNLS-AFVVNIGDTFMALSNGRYKSCLERAVVNNKTPRKSLAFFLCP 317 molecule	
PA0147 Pa 9945977	PVSVFRLIHTP-PASAROSADOPGAGAETDYGCVTLLYODAAGGLOVOROGEWIDAPPIDG-TFVVNIGDMMARWSNDRYRSTPERVISPRGVHRYSMPFFAEP 274 dioxyger	
PA4191 Pa 9950401	PLILFRUFNYPSOPVPEGLDVOMGYGBUTDYGLLTLLHODAIGGLCVRTPOGWLEAPPIPG-SFVCNLGDMLERMTGGLYRSTPURVBRNTSGRDRLSFPLFFDP 277	
ISP7_Sp_729862	PTTSIRLIRYPSSPNRIGYOBETDADALTIMSQDNVKGLEILDPVSNCFLSVSPAPG-ALIANLGDIMAILTNNRYKSSMERYCNNSGSDRYTIPFFLQG 353	
SPCC1494.01 Sc 7491815	BEDVLRLKYSI-PEGVERREDDEDAGAESDYGSITLLFQRDAAGLEIRPPNFVKDMDWLKVNVQPD-VVLVNIADMLQFWTSGKLRSTUERVRIDPGVKTRQTIAYFVTP 267	
DAOCS_Ly1_769809	CDPVLRTRPDVPEDRCABCOPNRTARETVDLSIVBLILOTPCPNGFVSLCVEIDGRFVEVPPRPG-CVVVFCGSIAPLVSDGKIKAPOTRTVS-PGA4-GSNRTSSVLFLRP 268/	
RRPO SHVX 548840	TYNOCL <b>V</b> OKYE	
POL ASPV 487652	FYNOCLIVOERS TOHGESMENDDSIYDIN- HOVLTVNYS GDAIFCI ECIGSGF-EILDESGO-LLMPFGFFORHEIGIKSP SKGRISLTFRUTK 853	
POL BSV 409711	TYDOMLACRYG	
RRPO PMV 139137	EFNOCITYOOFK- LOAAIPFERDDEPCYPKG- HOVLTINHS - GEGLICI - ACOKGKA-SITMGFGD-YYLSPVGFDSHKEBAYSIT - TGGRYSLIFFRCTV 690 viral	
POL GLV 1154656	YFNCVI BOKKD	
Pol GVA 1405615	SYDELLORYT	
RRPO ACLSV 1710717	NFNSALLOVEN DGCREPLISEDNEECYDD DEILITINV GDAKFHT TC-HGE -IIDLROGD - BILMPGGYONNNHAWEND SEGRISVILRYHK 836/	Ä
T13L16.2 At 2708738	VPDSCIVILID - GEOCIFIED WHITE - PRECITIFIED - SEEDILFGSNLKVE - GFGDFSCWS-VLVLINGIGADVAKHCVAV - PYKKESIFFRKMD 420\	
T19K4.220 At 3036813	IIKSCIWNIVE	
At2g48080 At 4249414	RPNGCVINFEDO P-FORPEND OPISTDVL SESTMYFGHRLGVD NDGNFRGSL-TLFLKEGS-LLVWRGNSADMARHVMCPS PNKRVAITFFKLK 351	
AK000315.1 Hs 7020317	GFVNSAVINDYO	
CG17807 Dm 7291441	SPDOLTWINE FOR SPENDING THE STREET SPENDING THE SPENDING	ic
CG6144 Dm 7297712	NANHYLWYE'L PROGELPHIDGPLFH PIISTISTG AHTVLEFVKREDTTTETEAGDOTTREVLF-KLLLEPRS-LLILKDTLYTDYLHAISETSED24RSPRELTIRHVP 213 FRANHYLVEFVKREDTTTETEAGDOTTREVLF-KLLLEPRS-LLILKDTLYTDYLHAISETSED24RSPRELTIRHVP 213 FRANHYLVEFTKREDTTTETEAGDOTTREVLF-KLLLEPRS-LLILKDTLYTDYLHAISETSED24RSPRENTY-KLLTTTTTT-KLLTTTTT-KLLTTTT-KLLTTTT-KLLTTT-KLLTTT-KLLTTT-KLLTTT-KLLTT-	
CG4036 Dm 7297561	OTIEOCSLEVEPSKGASIDPHVDDCWIWGERVVTVNCLGDSVLTLTPYEVOOSGKYNLDLVAS YEDELLAP-LLTDDDOLATFEGKVURIFMPNLS-LIVLYGFARYOFDHSVLREDVOBRRVCVAYREFT 278 ALKB	-
FLJ2001 Hs 38923019	RPVBOCNLDVCPERGSAIDPHUDDWLWGERLVSLNLLSTVLSMCRBAPGSLLLCSAPSAAFEALVDSVIAPSRSVLCOEVEVAIPLPARS-LLVLTGAARHOWGATHRRHIEARRYCVTFRELS 274 paralogs	ε
C14B1.10 Ce 6580210	RPDOVTANVESGHGIPSHYDTHSAFDDPIVSISLSDVVMEFKDGANSARIAPVLLKARS-LCLIOGESRYRWHGIVNRKYD10ROTRVSLTLRKIR 343	
SPAP8A3.02c Sp 7491301	DABAIIMOVYN	
L3377.4 Lm 9989036	WLNNOTANLYE	
MTCI237.14c Mtu 2052134	마는 마	
AlkB Cc 2055386	PPDSCLWNLWATGARMGLEODRDEADPRFPLLBISLGDTAVFFIGGVNRKDPTRSLRLASGDVCRLLGPARLAFIGWDRILPG6-GGGRINLTLRRAR 190	
ALKB Ec 113638	OPDACLING A	
AlkB Scoe 8894829	PYDIALINFYDADARMGMERDADERTDAPVVSLSLGDTCVFFGONPETRTRPYTDTELRSGD-LFVFGGPSRLAYEGVPRVHPG7-LRGRUNITLRVSG 215 AlkB	
AlkB At 4835778	RPEGAIVNYEGSKDDPPHAMYLRSGDVVLMAGEARECFEGNLLHFOL34KTSRININIROVF 354	
AlkB Sp 3080529	KABAAIVNFYS	
AlkB Hs 2134723	RABAGIENYYRLDSTEGIEWDRSELDHSKPLLSFSFGOSAIFLEGGLORDEAPP-PMFMHSGD-IMIMSGFSRLLWEAVPRULPN39KTARVNMA ROVL 272/	
Consensus (85%):	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	
	* *	

# Example...

- By comparing E.coli AlkB to other sequences in the database it was found that AlkB had some features in common with more well-known enzymes
- Based on these similarities the following was suggested regarding AlkB:
  - That AlkB is a dioxygenase
  - That the enzyme is Iron(II) dependent
  - That the enzyme is 2-oxo-glutarate dependent
  - That AlkB repairs alkylated bases through a form of oxidation
  - That the enzyme could demethylate RNA as well (not just DNA)
  - That there were eukaryotic counterparts of the protein
- All of this was later verified in the lab and resulted in three publications in *Nature*.

# Example...

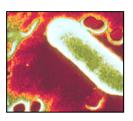
- By further sequence analysis 3 AlkB-like sequences were found in humans:
  - ALKBH1
  - ALKBH2
  - ALKBH3
- And by even more advanced analysis another 5 homologs were found in humans:
  - ALKBH4
  - ALKBH5
  - ALKBH6
  - ALKBH7
  - ALKBH8

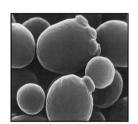


• The function of these 8 enzymes are now being studied in detail. Some of them may be related to human diseases.

# Genomes are a huge source of information

- More than 6000 "completely" sequenced genomes available – an enormous source of information. Many thousands of other genomes in progress\*
- Almost 1 000 000 000 000 basepairs in GenBank (2014)\*
- Database sizes are growing exponentially
   doubling in about 18 months since 1982
- Searching sequence databases for a similar sequence is fundamental in many types of analyses in bioinformatics
- Searching a sequence database with a new amino acid or nucleotide sequence allow us to find out more about:
  - Gene function
  - Conserved and probably important residues
  - 3D structure of a protein
  - Distribution of the gene among species
  - Gene structure
  - Chromosomal localisation
- Save time in the lab!
- Database searching is highly compute intensive and is probably the task consuming the largest amount of computing time within bioinformatics.

















<sup>\*</sup> Sources: genomesonline.org & NCBI (ftp://ftp.ncbi.nih.gov/genbank/gbrel.txt)

# **Searching sequence databases**

- Goal: Identify which sequences in a database are significantly similar to a given DNA, RNA or protein sequence.
- How: The query sequence is compared (aligned) with each of the database sequences, and the amount of similarity is determined for each database sequence.

#### **Example:**

Query sequence: acgatcgattagcca

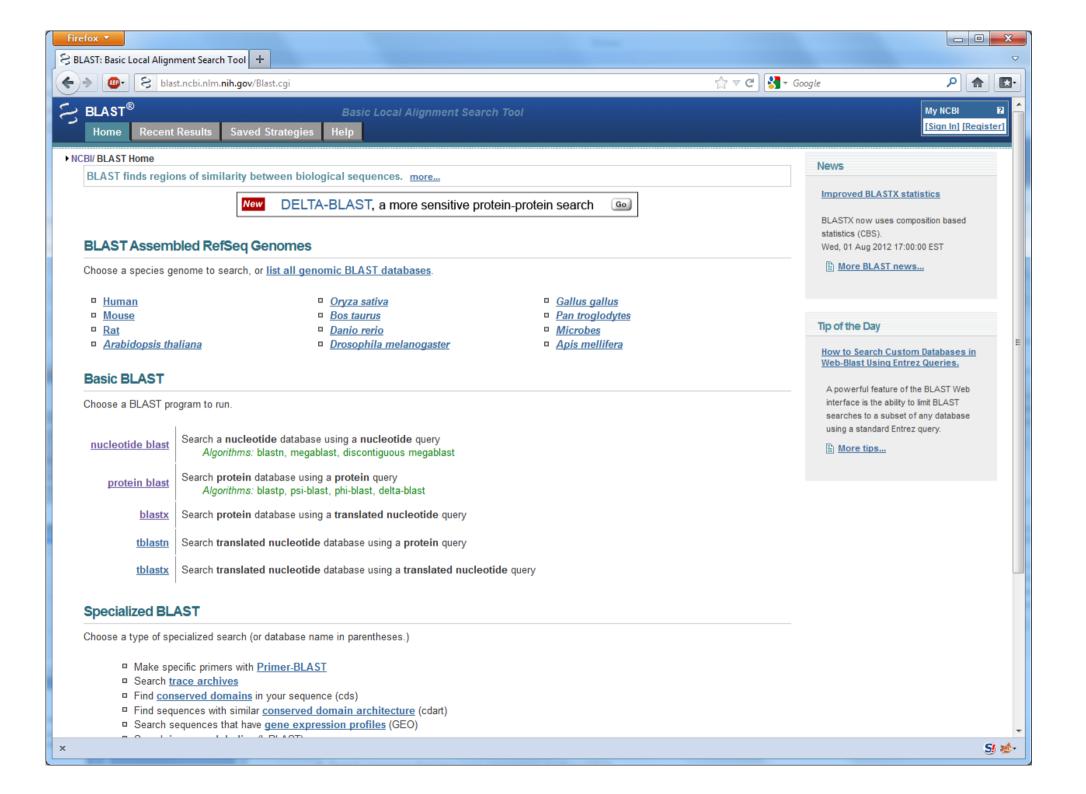
Database sequences:

Identical (trivial): acgatcgattagcca

Very similar (easy): acgaccgatgagcca

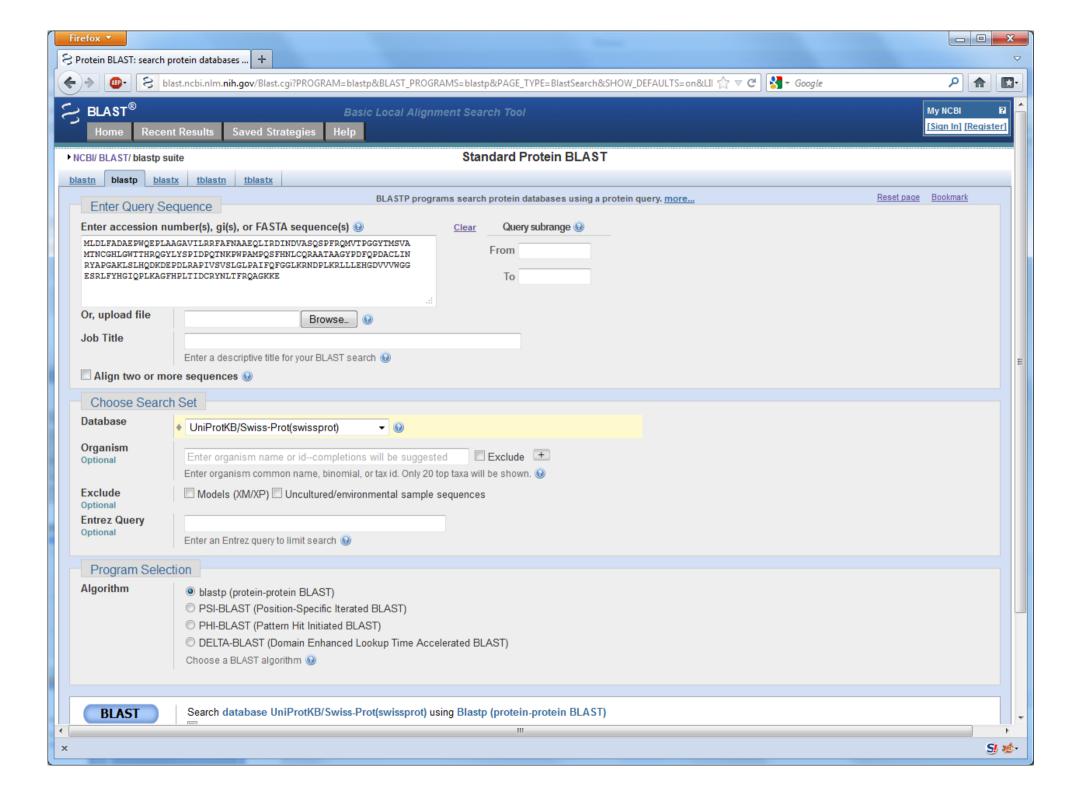
Similar (moderate): atgacggatgagcga

Very diverged (hard): atgacgggatgagcga



# **Search program variants**

Query	Database	Comparisons	FASTA	BLAST	Description
Nucleotide	Nucleotide	Nucleotide (2)	fasta (fastn)	blastn	Compares directly both strands (forward and reverse complement) of the nucleotide query sequence to the nucleotide sequences in the database.
Amino acid	Amino acid	Amino acid (1)	fasta (fastp)	blastp	Compares the amino acid query sequence with the amino acid sequences in the database.
Amino acid	Nucleotide	Amino acid (6)	tfasta, tfastx, tfasty	tblastn	Translates the database nucleotide sequences into all six frames and compares the resulting amino acid sequences with the amino acid query sequences. tfasty allows intra-codon substitutions and frameshifts.
Nucleotide	Amino acid	Amino acid (6)	fastx, fasty	blastx	Translates the nucleotide query sequence into all six frames and compares the resulting amino acid sequences with the amino acid sequences in the database. fasty allows intra-codon substitutions and frameshifts.
Nucleotide	Nucleotide	Amino acid (36)	-	tblastx	Translates both the query nucleotide sequence and the database nucleotide sequences into all six frames and compares the resulting amino acid sequences with each other.



# **BLAST** databases (protein)

**nr:** All non-redundant GenBank CDS translations + RefSeq Proteins +

PDB + UniProtKB/SwissProt + PIR + PRF

**refseq:** RefSeq protein sequences from NCBI's Reference Sequence Project.

**swissprot:** The SWISSPROT part of UniProt Knowledge Base (UniProtKB)

**pat**: Patented protein sequences

**pdb:** Sequences of proteins in the Protein Data Bank (PDB) containing the

3-dimensional structure of proteins

**env\_nr**: Protein sequences from metagenomic projects and environmental

samples.

# **BLAST** databases (nucleotides)

All GenBank + RefSeg Nucleotides + EMBL + DDBJ + PDB nr:

sequences (excluding HTGS0,1,2, EST, GSS, STS, PAT, WGS). No longer "non-redundant".

refseg rna: RNA entries from NCBI's Reference Sequence project

refsea genomic: Genomic entries from NCBI's Reference Sequence project

chromosome: A database with complete genomes and chromosomes from the

NCBI Reference Sequence project..

Database of GenBank + EMBL + DDBJ sequences from EST est:

**Divisions** 

Genome Survey Sequence, includes single-pass genomic data, gss:

exon-trapped sequences, and Alu PCR sequences.

Unfinished High Throughput Genomic Sequences: phases 0, 1 htgs:

and 2 (finished, phase 3 HTG sequences are in nr)

Nucleotides from the Patent division of GenBank. pat:

pdb: Sequences derived from the 3-dimensional structure from

Protein Data Bank (PDB)

alu repeats: Human ALU repeat elements

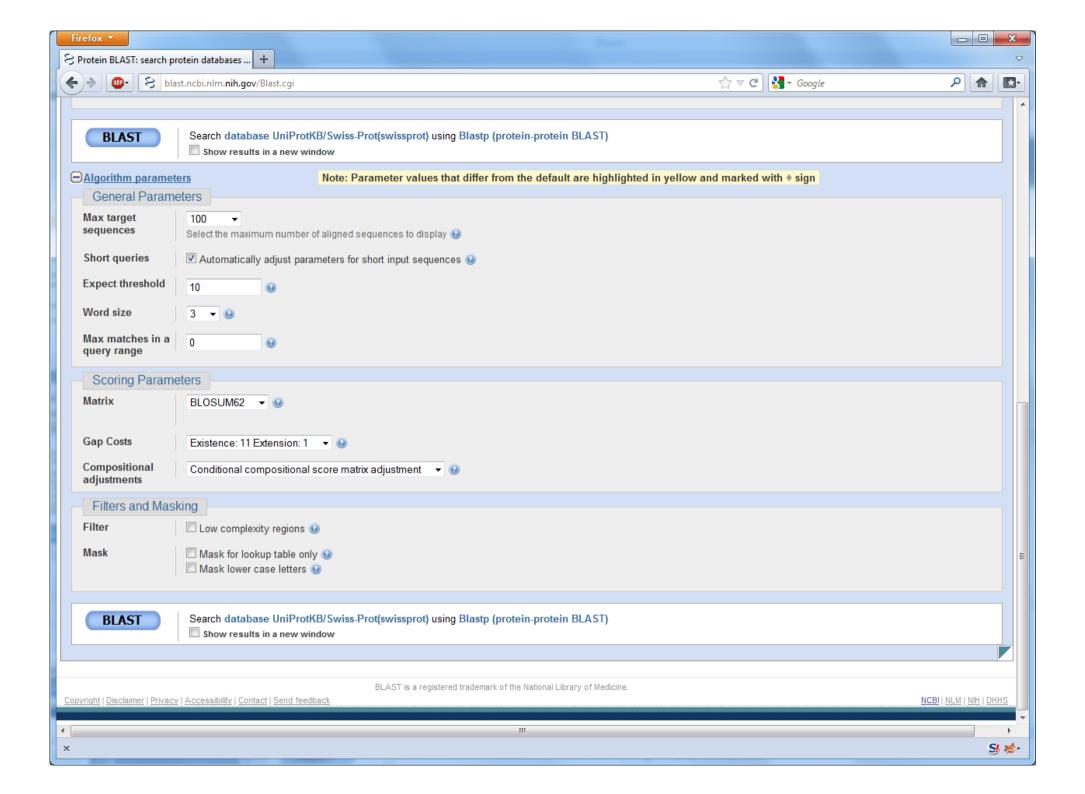
dbsts: Database of GenBank+EMBL+DDBJ sequences from STS

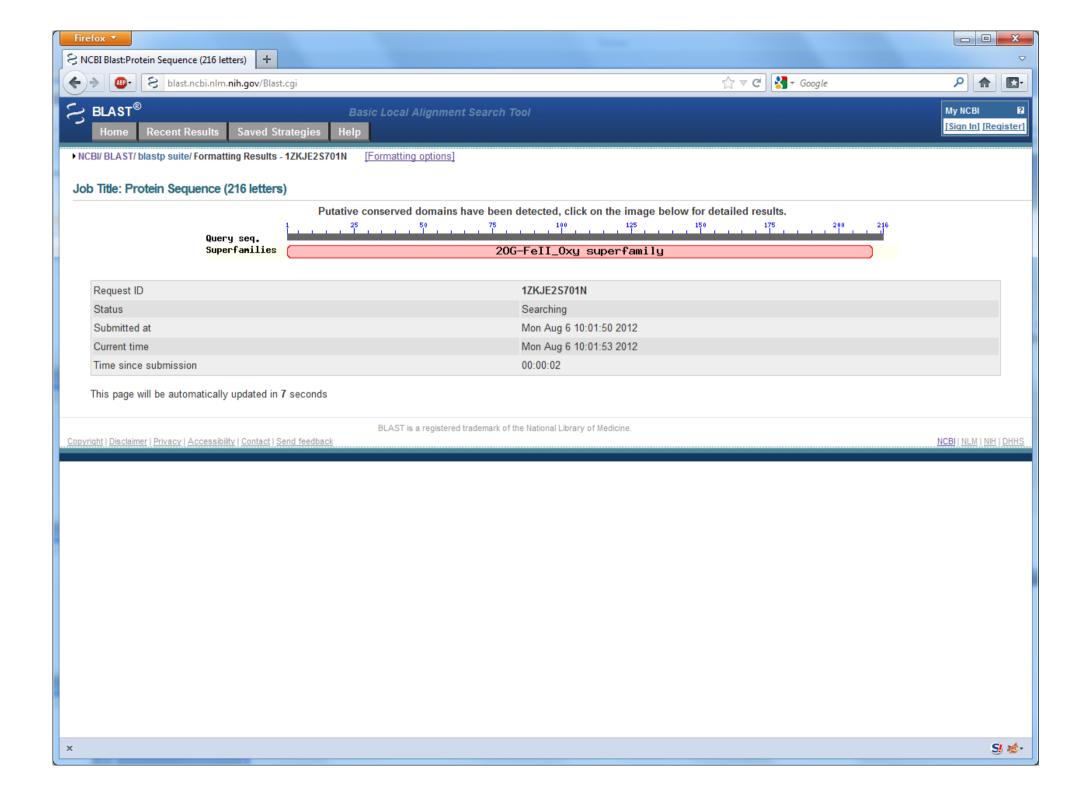
Divisions.

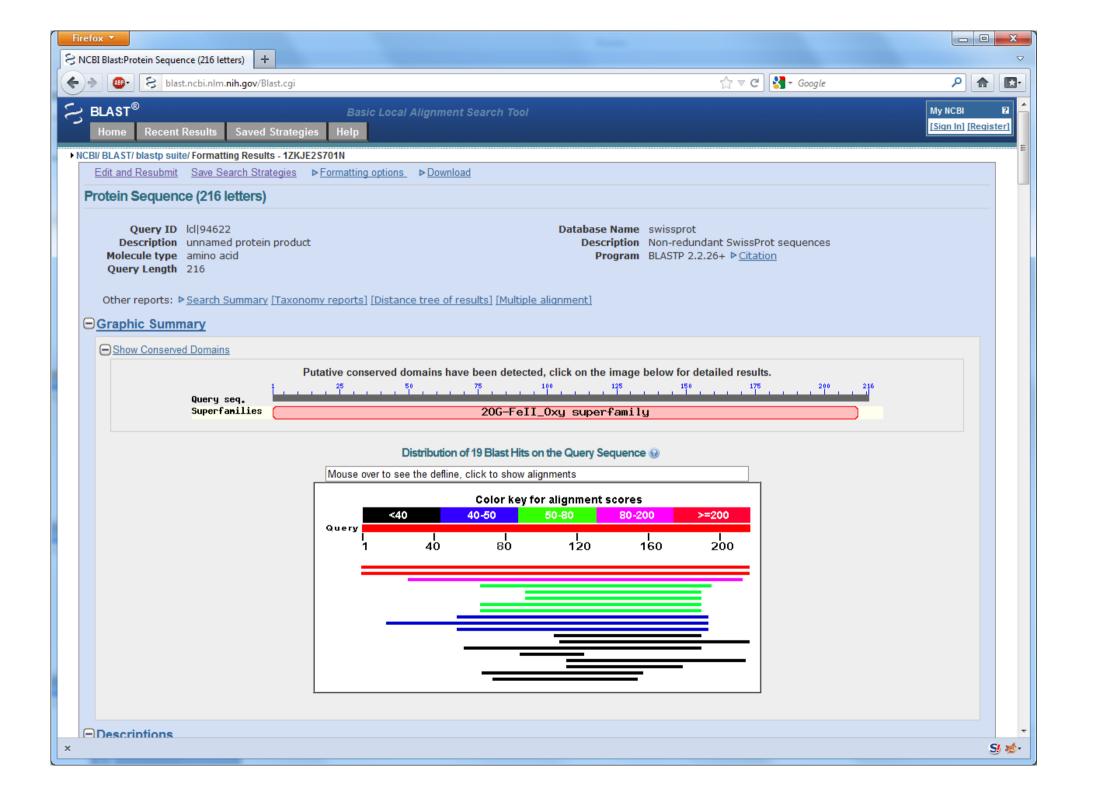
A database for whole genome shotgun sequence entries wgs:

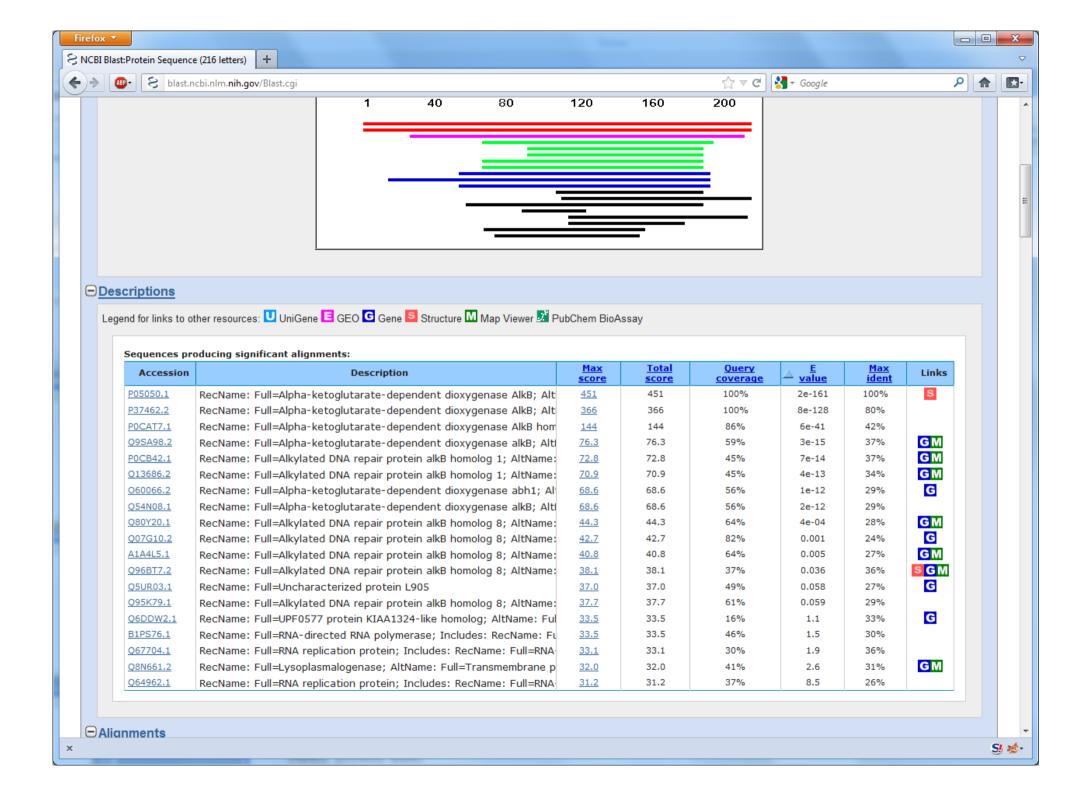
Transcriptome shotgun assembly tsa:

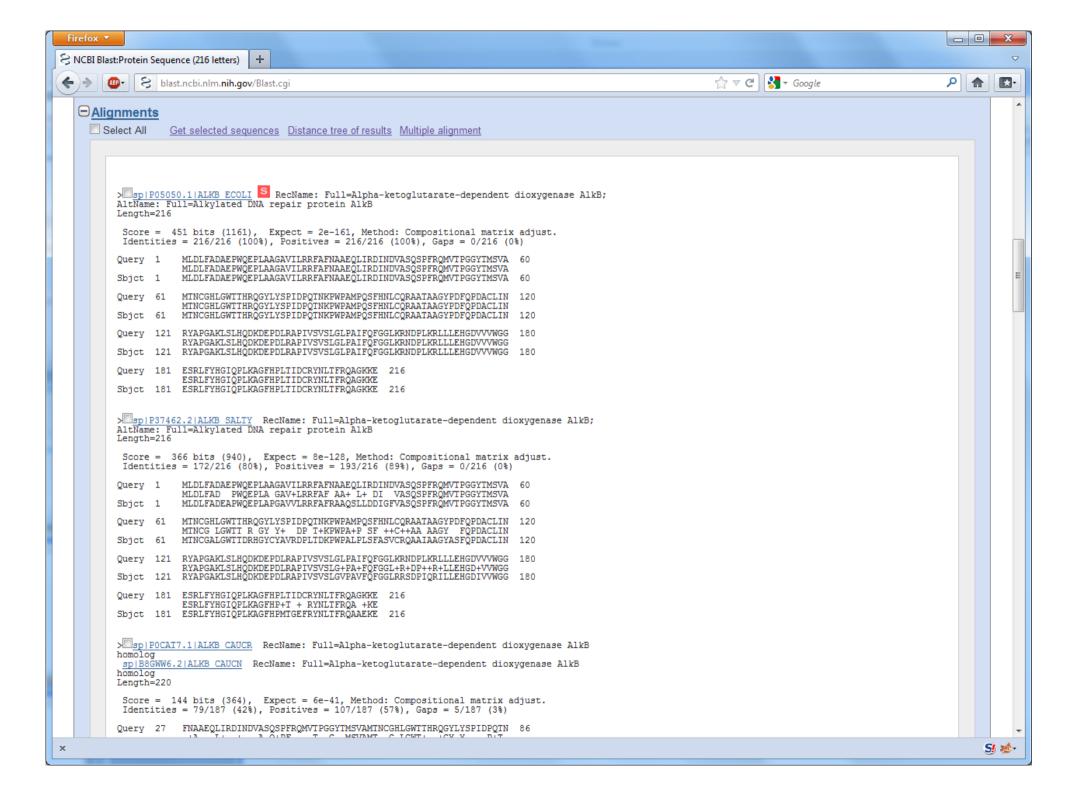
16S: 16S ribosomal RNA from Bacteria and Archaea

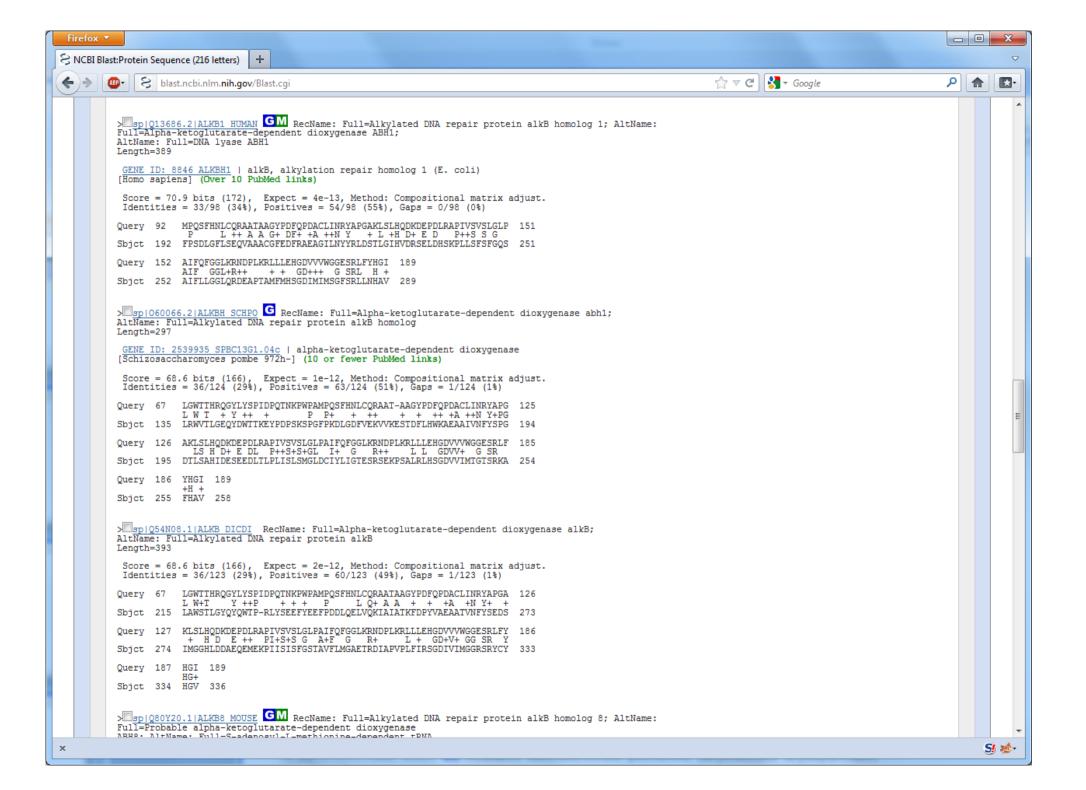


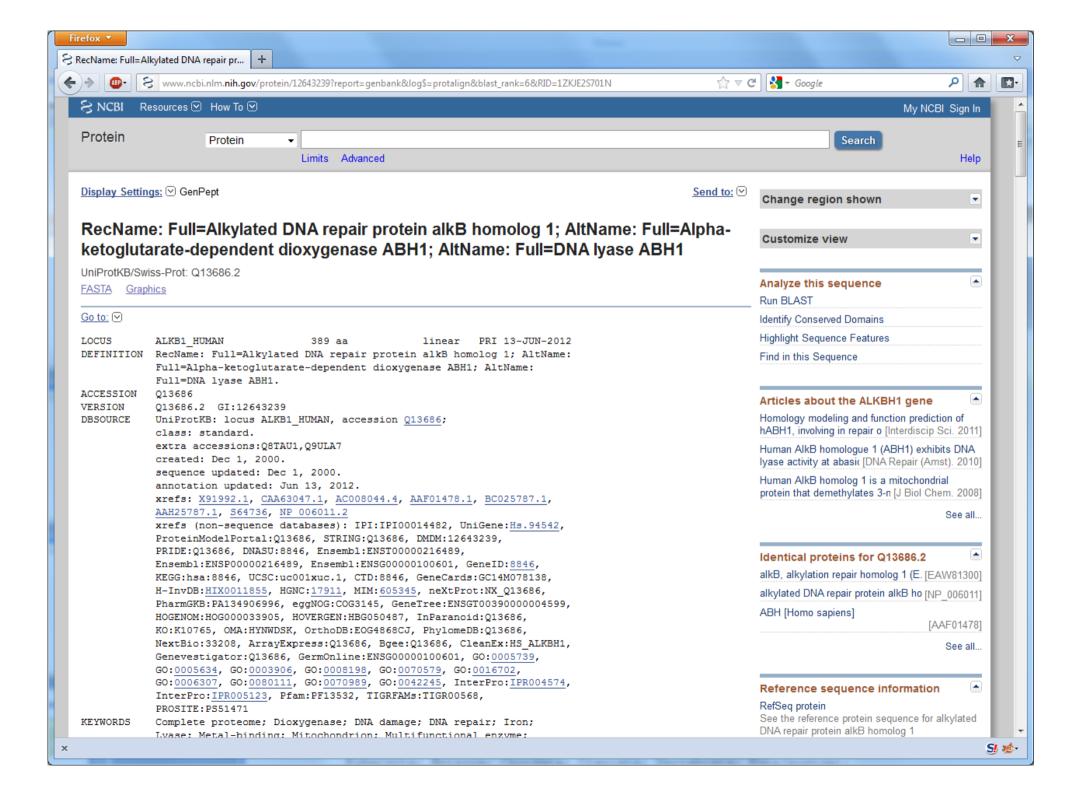




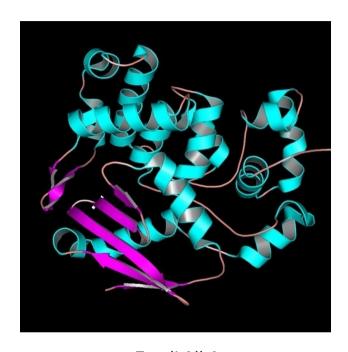




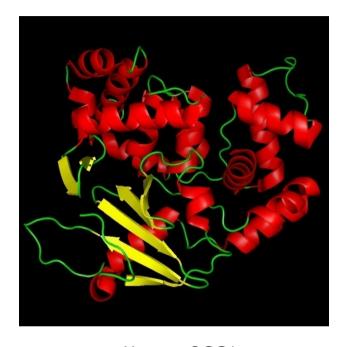




# **Structure and sequence alignment**



*E.coli* AlkA Hollis *et al.* (2000) *EMBO J.* **19**, 758-766 (PDB ID 1DIZ)



Human OGG1
Source: Bruner et al. (2000) Nature **403**, 859-866 (PDB ID 1EBM)

E.c.	AlkA	127	SVAMAAKLTARVAQLYGERLDDFPEYICFPTPQRLAAADPQA-LKALGMPLKRAEALI	183
			++  +  +  +    +     +   +   +   +   +	
H.s.	OGG1	151	NIARITGMVERLCQAFGPRLIQLDDVTYHGFPSLQALAGPEVEAHLRKLGLGY-RARYVS	209
E.c.	AlkA	184	HLANAALEGTLPMTIPGDVEQAMKTLQTFPGIGRWTANYFAL	225
H.s.	OGG1	210	ASARAILEEQGGLAWLQQLRESSYEEAHKALCILPGVGTKVADCICL	256

# **Similarity and homology**

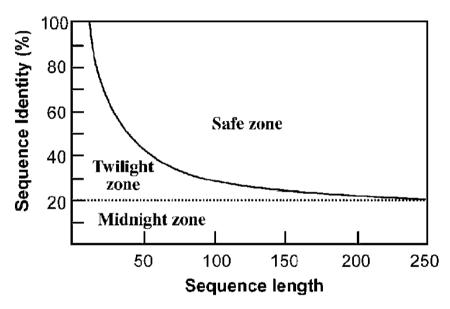
Two very important basic concepts:

- **Similarity**: Degree of likeness between two sequences, usually expressed as a percentage of similar (or identical) residues over a given length of the alignment. Can usually be easily calculated.
- Homology: Statement about common evolutionary ancestry of two sequences. Can only be true or false. We can rarely be certain about this, it is therefore usually a hypothesis that may be more or less probable.

A high degree if similarity implies a high probability of homology

- If two sequences are very similar, the sequences are usually homologous
- If two sequence are not similar, we don't know if they are homologous
- If two sequences are not homologous, their sequences are usually not similar (but may be by chance)
- If two sequences are homologous, their sequences may or may not be similar; we don't know

# Sequence similarity and homology



**Figure 3.1:** The three zones of protein sequence alignments. Two protein sequences can be regarded as homologous if the percentage sequence identity falls in the safe zone. Sequence identity values below the zone boundary, but above 20%, are considered to be in the twilight zone, where homologous relationships are less certain. The region below 20% is the midnight zone, where homologous relationships cannot be reliably determined. (*Source:* Modified from Rost 1999).

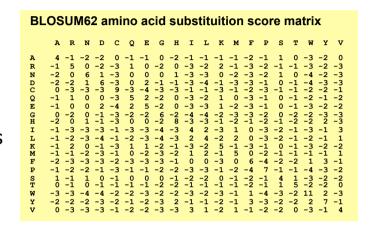
# Common alignment scoring system

#### Substitution score matrix

- Score for aligning any two residues to each other
- Identical residues have large positive scores
- Similar residues have small positive scores
- Very different residues have large negative scores

### • Gap penalties

- Penalty for opening a gap in a sequence (Q)
- Penalty for extending a gap (R)
- Typical gap function: G = Q + R \* L, where L is length of gap
- Example: Q=11, R=1



## **Amino acid substitution score matrix**

ARNDCOEGHILKMFPST 0 -1 -1 0 -2 -1 -1 -1 -1 -2 -1 Α N 0 -1 H Т K 2 -1 M 0 -3 F Т Y V

# Significance of alignments

- Even random sequences may reach a high score when aligned optimally, so when is a sequence alignment significant?
- How can we know that sequences are homologous? Homology means that a common ancestor is assumed
- Statistical methods compare the score of a match with the distribution of alignment scores found by aligning random sequences
- The most commonly used indicator of significance:
  - E-value = Expect value = expected number of random matches at least as good as this one (with at least this alignment score)
- Some other simple indicators of significance (less accurate):
  - Percentage of identical residues
  - Percentage of similar residues
  - Bit score
  - Raw alignment score

# **Expect value (E-value)**

Expected number of random matches with at least a given alignment score

$$E = K M N e^{-\lambda S}$$

Here,

- S is the raw alignment score
- K and  $\lambda$  are constants that depends on the score matrix and gap penalties used.
- M and N are the lengths of the query and database sequences

Normalized score (bitscore):

$$S' = (\lambda S - \ln K) / \ln 2$$

# **Interpreting E values**

Low E-values indicate high statistical significance.

#### Rules of thumb:

• E < 0.05: probably related (homologous)

• E < 1 : may be related

• E >= 1: no statistical significance, but may be

biologically significant anyway

# Repeats and low complexity regions

- Repeats and low complexity regions constitute more than one third of the human genome.
- Highly locally biased composition occurs in regions of many proteins and in DNA. E.g. structural proteins in hair.
- Low complexity regions may give rise to high alignment scores but are usually biologically uninteresting
- They can (and should usually) be masked using programs like RepeatMasker, DUST or SEG before a database search is caried out. The sequence in each region is then replaced by Ns or Xs.

#### • Examples:

- interspersed repeats:
  - Short interspersed elements (SINEs)
  - Long interspersed elements (LINEs)
- simple repeats (microsatellites)
  - usually 1 to 7 nucleotides are repeated a large number of times
  - E.g. ...AGAGAGAGAGAGAG...
  - E.g. ...CCGCCGCCGCCGCCGCCG...
- low complexity regions,
  - Protein example: PPCDPPPPPKDKKKKDDGPP
  - DNA example: AAATAAAAAAAATAAAAAAT

# **Database search algorithms**

- Based on local alignments of query sequence with every database sequence
- Exhaustive / Optimal / Brute-force: Smith-Waterman
- Heuristic: BLAST, FASTA, PARALIGN, ...
- Heuristic algorithms are faster but less accurate

# **Search performance**

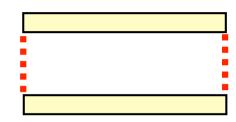
Three important performance indicators:

- Sensitivity (Recall)
  - Ability to detect the homologous sequences in the database
  - The fraction of truly homologous sequences found (with a score above a certain threshold) among all homologous sequences
  - True positives / (True positives + False negatives)
- Precision (PPV)
  - Ability to distinguish between homologous sequences and nonhomologous sequences
  - The fraction of truly homologous sequences found (with a score above a certain threshold) among all sequences found
  - True positives / (True positives + False positives)
- Speed

# Global and local alignments

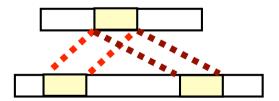
#### Global alignment:

- Alignment of <u>entire sequences</u> (all symbols)
- May be used when the sequences are of approximately equal length and are expected to be related over their entire length.



#### Local alignment:

- Alignment of <u>subsequences</u> from each sequence
- Part of the problem is to identify which parts of the sequences should be included
- Is used when the sequences are of inequal length; and/ or only certain regions in the sequences are assumed to be related (conserved domains).



# Global and local alignments

**Figure 3.2:** An example of pairwise sequence comparison showing the distinction between global and local alignment. The global alignment (*top*) includes all residues of both sequences. The region with the highest similarity is highlighted in a box. The local alignment only includes portions of the two sequences that have the highest regional similarity. In the line between the two sequences, ":" indicates identical residue matches and "." indicates similar residue matches.

#### global sequence alignment

```
seq1 NQYYSSIKRS
.:::::::
seq2 DQYYSSIKRT
```

#### local sequence alignment

#### **BLAST**

- BLAST = Basic local alignment search tool
- Very popular, probably most commonly used tool in bioinformatics
- First version in 1990 (no gaps)
- Second version in 1997 (with gaps, + PSI-BLAST etc)
- References
  - Altschul SF, Gish W, Miller W, Myers EW, Lipman DJ. (1990) Basic local alignment search tool. J Mol Biol., 215, 403-410.
  - Altschul SF, Madden TL, Schaffer AA, Zhang J, Zhang Z, Miller W, Lipman DJ. (1997) Gapped BLAST and PSI-BLAST: a new generation of protein database search programs. Nucleic Acids Res., 25, 3389-3402.

# **BLAST:** pre-processing

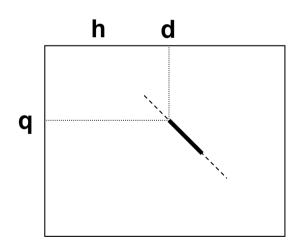
- BLAST looks for so-called maximal segment pairs (MSPs) with a high score. The goal is to find all MSPs with score at least V.
- Within a MSP with score at least V there is a high probability that there will be a word pair with score at least T. These are called hits.
- Initially BLAST will look for word pairs with score of at least T

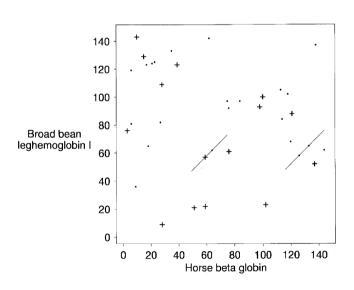
#### **Definition**

- A maximal segment pair  $(MSP_{qd})$  is a pair of identical length segments chosen from the sequences q and d, which when aligned have the highest possible score obtained for local ungapped alignment of q and d.
- A high-scoring segment pair (HSP) is a segment pair which does not increase its score while either extending or shortening its length. Also called a local maximal segment pair (LMSP).
- A word is a segment of fixed length w.
- A word pair is a pair of segments of fixed length w.

# **BLAST** for proteins, step 1

- Search through the database sequence and identify the position of all words matching the query sequence
- Keep track of the starting positions of the words, both in the query sequence (q) and in the database sequence (p)
- Compute the diagonal number h = d q





# **BLAST for proteins, step 2**

 Keep hits if there are two hits on the same diagonal within a maximal distance A (typical 40)

```
d
LUKALWYAR...
i\j 123456789
1E
2A *
3L *
3L *
44C
5K *
6A *h=-2 *
7R *h=2
8 V
9 A *
10 R *h=-1
```

